

Comparison of Channels: Criteria for Domination by a Symmetric Channel

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1 Introduction

- Preliminaries
- Guiding Question
- Motivation

2 Conditions for Domination by a Symmetric Channel

3 Less Noisy Domination and Log-Sobolev Inequalities

- A **channel** is a set of conditional distributions $W_{Y|X}$ that is represented by a **row stochastic matrix** $W \in \mathbb{R}_{\text{sto}}^{q \times r}$.

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- Recall that **KL divergence** is defined as:

$$D(P_X \| Q_X) \triangleq \sum_{x \in \mathcal{X}} P_X(x) \log \left(\frac{P_X(x)}{Q_X(x)} \right).$$

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Definition (Less Noisy Preorder [KM77])

$W \in \mathbb{R}_{\text{sto}}^{q \times r}$ is **less noisy** than $V \in \mathbb{R}_{\text{sto}}^{q \times s}$, denoted $W \succeq_{\text{ln}} V$, iff:

$$\forall P_X, Q_X \in \mathcal{P}_q, D(P_X W \| Q_X W) \geq D(P_X V \| Q_X V).$$

Guiding Question

Definition (q -ary Symmetric Channel)

The q -ary symmetric channel is defined as:

$$W_\delta \triangleq \begin{bmatrix} 1 - \delta & \frac{\delta}{q-1} & \cdots & \frac{\delta}{q-1} \\ \frac{\delta}{q-1} & 1 - \delta & \cdots & \frac{\delta}{q-1} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{\delta}{q-1} & \frac{\delta}{q-1} & \cdots & 1 - \delta \end{bmatrix} \in \mathbb{R}_{\text{sto}}^{q \times q}$$

where $\delta \in [0, 1]$ is the total crossover probability.

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Remark: For every channel $V \in \mathbb{R}_{\text{sto}}^{q \times s}$, $W_0 \succeq_{\ln} V$ and $V \succeq_{\ln} W_{(q-1)/q}$.

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What is the q -ary symmetric channel with the largest $\delta \in [0, \frac{q-1}{q}]$ that is less noisy than a given channel V ?

Motivation: Strong Data Processing Inequality

Data Processing Inequality: For any channel $V \in \mathbb{R}_{\text{sto}}^{q \times s}$,

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Strong Data Processing Inequality [AG76]: For any channel $V \in \mathbb{R}_{\text{sto}}^{q \times s}$,

$$\forall P_X, Q_X \in \mathcal{P}_q, \quad \eta D(P_X \| Q_X) \geq D(P_X V \| Q_X V)$$

where $\eta \in [0, 1]$ is a channel dependent **contraction coefficient**.

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Relation to Erasure Channels [PW16]:

A **q -ary erasure channel** $E_{1-\eta} \in \mathbb{R}_{\text{sto}}^{q \times (q+1)}$ erases its input with probability $1 - \eta$, and keeps it the same with probability η .

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Strong Data Processing Inequality [AG76]: For any channel $V \in \mathbb{R}_{\text{sto}}^{q \times s}$,

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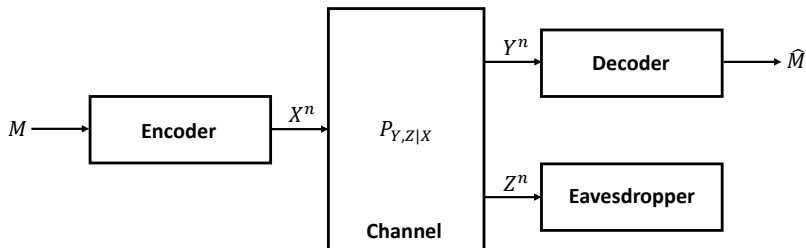
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Prop: $E_{1-\eta} \succeq_{\text{ln}} V \Leftrightarrow \forall P_X, Q_X \in \mathcal{P}_q, \eta D(P_X \| Q_X) \geq D(P_X V \| Q_X V)$.

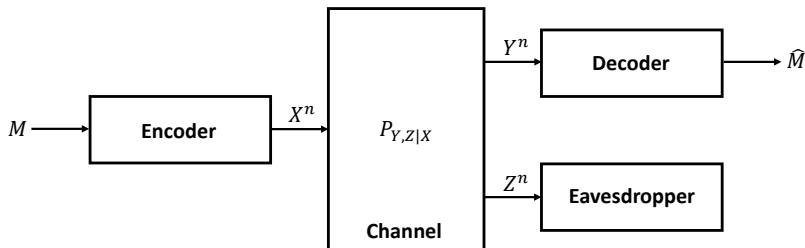
SDPI = \succeq_{ln} domination by erasure channel

Motivation: Wyner's Wiretap Channel



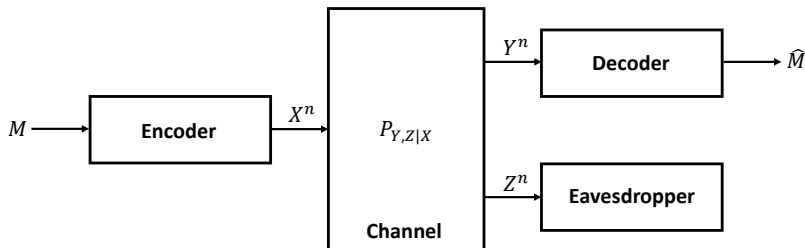
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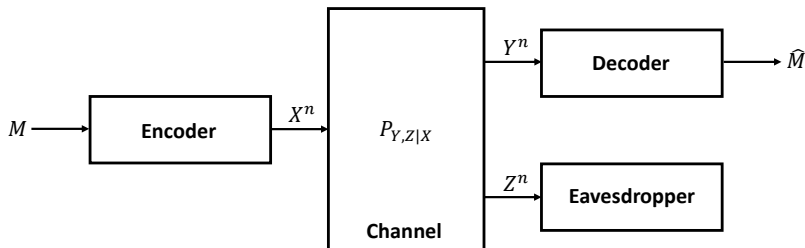
- $P_{Y|X} = V$ is the *main channel*.
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- **Secrecy capacity** $C_S =$ maximum rate that can be sent to the legal receiver such that $\mathbb{P}(M \neq \hat{M})$ and $\frac{1}{n}I(M; Z^n)$ asymptotically vanish.

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- **Prop [CK11]**: $C_S = 0$ if and only if $W_\delta \succeq_{\ln} V$.

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- **Secrecy capacity** C_S = maximum rate that can be sent to the legal receiver such that $\mathbb{P}(M \neq \hat{M})$ and $\frac{1}{n}I(M; Z^n)$ asymptotically vanish.
- **Prop** [CK11]: $C_S = 0$ if and only if $W_\delta \succeq_{\ln} V$.
- Finding the maximally noisy $W_\delta \succeq_{\ln} V$ establishes the **minimal noise on $P_{Z|X}$ so that secret communication is feasible.**

- 1 Introduction
- 2 Conditions for Domination by a Symmetric Channel
 - General Sufficient Condition
 - Refinements for Additive Noise Channels
- 3 Less Noisy Domination and Log-Sobolev Inequalities

Condition for Degradation by Symmetric Channels

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- **Def (Degradation)** [Ber73]:

A channel $V \in \mathbb{R}_{\text{sto}}^{q \times s}$ is a **degraded** version of $W \in \mathbb{R}_{\text{sto}}^{q \times r}$, denoted $W \succeq_{\text{deg}} V$, if $V = WA$ for some channel $A \in \mathbb{R}_{\text{sto}}^{r \times s}$.

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Theorem (Degradation by Symmetric Channels)

Given a channel $V \in \mathbb{R}_{\text{sto}}^{q \times q}$ with $q \geq 2$ and minimum probability $\nu = \min \{[V]_{i,j} : 1 \leq i, j \leq q\}$, we have:

$$0 \leq \delta \leq \frac{\nu}{1 - (q-1)\nu + \frac{\nu}{q-1}} \Rightarrow W_{\delta} \succeq_{\text{deg}} V.$$

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Tightness for Degradation: The **condition is tight** when no further information about V is known. For example, suppose:

$$V = \begin{bmatrix} \nu & 1 - (q-1)\nu & \nu & \cdots & \nu \\ 1 - (q-1)\nu & \nu & \nu & \cdots & \nu \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 - (q-1)\nu & \nu & \nu & \cdots & \nu \end{bmatrix} \in \mathbb{R}_{\text{sto}}^{q \times q}.$$

Then, $W_\delta \succeq_{\text{deg}} V$ if and only if $0 \leq \delta \leq \nu / (1 - (q-1)\nu + \frac{\nu}{q-1})$.

Additive Noise Channels

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where $X, Y, Z \in \mathcal{X}$ are the input, output, and noise random variables.

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- The channel transition probability matrix is a doubly stochastic **\mathcal{X} -circulant matrix** $\text{circ}_{\mathcal{X}}(P_Z) \in \mathbb{R}_{\text{sto}}^{q \times q}$ defined entry-wise as:

$$\forall x, y \in \mathcal{X}, [\text{circ}_{\mathcal{X}}(P_Z)]_{x,y} \triangleq P_Z(-x \oplus y) = P_{Y|X}(y|x).$$

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- Symmetric channel: $P_Z = \left(1 - \delta, \frac{\delta}{q-1}, \dots, \frac{\delta}{q-1}\right)$ for $\delta \in [0, 1]$

$$\text{circ}_{\mathcal{X}}(P_Z) = W_{\delta}$$

Less Noisy Domination and Degradation Regions

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Theorem (Less Noisy Domination and Degradation Regions)

Given $W_\delta \in \mathbb{R}_{\text{sto}}^{q \times q}$ with $\delta \in \left[0, \frac{q-1}{q}\right]$ and $q \geq 2$, we have:

$$\begin{aligned}\mathcal{D}_{W_\delta}^{\text{add}} &= \text{conv}(\text{rows of } W_\delta) \\ &\subseteq \text{conv}(\text{rows of } W_\delta \text{ and } W_\gamma) \\ &\subseteq \mathcal{L}_{W_\delta}^{\text{add}} \subseteq \{P_Z \in \mathcal{P}_q : \|P_Z - \mathbf{u}\|_{\ell^2} \leq \|w_\delta - \mathbf{u}\|_{\ell^2}\}\end{aligned}$$

where w_δ is the first row of W_δ , $\gamma = (1 - \delta) / \left(1 - \delta + \frac{\delta}{(q-1)^2}\right)$, and $\mathbf{u} \in \mathcal{P}_q$ is the uniform pmf.

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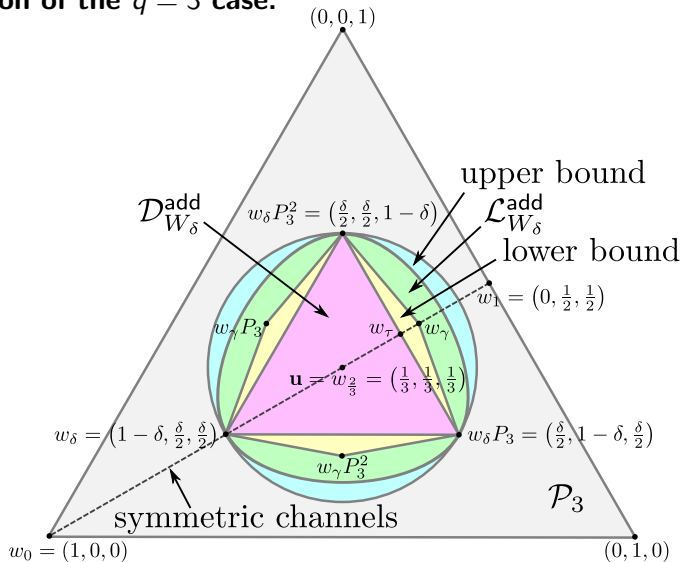
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Furthermore, $\mathcal{L}_{W_\delta}^{\text{add}}$ is a closed and convex set that is symmetric with respect to permutations representing the group (\mathcal{X}, \oplus) .

Domination Structure of Additive Noise Channels

Illustration of the $q = 3$ case:



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- 3 Less Noisy Domination and Log-Sobolev Inequalities
 - Log-Sobolev Inequalities
 - Comparison of Dirichlet Forms

Log-Sobolev Inequalities

- Consider an **irreducible Markov chain** $V \in \mathbb{R}_{\text{sto}}^{q \times q}$ with **uniform stationary distribution** $\mathbf{u} \in \mathcal{P}_q$.

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- Consider an irreducible Markov chain $V \in \mathbb{R}_{\text{sto}}^{q \times q}$ with uniform stationary distribution $\mathbf{u} \in \mathcal{P}_q$.
- Define the **Dirichlet form** $\mathcal{E}_V : \mathbb{R}^q \times \mathbb{R}^q \rightarrow \mathbb{R}^+$:

$$\mathcal{E}_V(f, f) \triangleq \frac{1}{q} f^T \left(I - \frac{V + V^T}{2} \right) f.$$

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- The **log-Sobolev inequality** with constant $\alpha \in \mathbb{R}^+$ states that for every $f \in \mathbb{R}^q$ such that $f^T \mathbf{u} = q$:

$$D(f^2 \mathbf{u} \| \mathbf{u}) = \frac{1}{q} \sum_{i=1}^q f_i^2 \log(f_i^2) \leq \frac{1}{\alpha} \mathcal{E}_V(f, f)$$

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where the largest possible constant α satisfying this inequality is known as the **log-Sobolev constant**.

Comparison of Dirichlet Forms

- Log-Sobolev constant of the **standard Dirichlet form**:

$$\mathcal{E}_{\text{std}}(f, f) \triangleq \text{VAR}_{\mathbf{u}}(f) = \sum_{i=1}^q \frac{1}{q} f_i^2 - \left(\sum_{i=1}^q \frac{1}{q} f_i \right)^2$$

is known [DSC96]. For every $f \in \mathbb{R}^q$ with $f^T f = q$:

$$D(f^2 \mathbf{u} \parallel \mathbf{u}) \leq \frac{q \log(q-1)}{(q-2)} \mathcal{E}_{\text{std}}(f, f).$$

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Theorem (Domination of Dirichlet Forms)

For any channels $W_\delta \in \mathbb{R}_{\text{sto}}^{q \times q}$ with $\delta \in [0, \frac{q-1}{q}]$ and $V \in \mathbb{R}_{\text{sto}}^{q \times q}$, that have uniform stationary distribution, if $W_\delta \succeq_{\ln} V$, then $\mathcal{E}_V \geq \frac{q\delta}{q-1} \mathcal{E}_{\text{std}}$ pointwise.

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- This establishes a log-Sobolev inequality for V :

$$D(f^2 \mathbf{u} \| \mathbf{u}) \leq \frac{(q-1) \log(q-1)}{\delta (q-2)} \mathcal{E}_V(f, f)$$

for every $f \in \mathbb{R}^q$ satisfying $f^T f = q$.

Thank You!

