

# Lecture: Virtual Machines

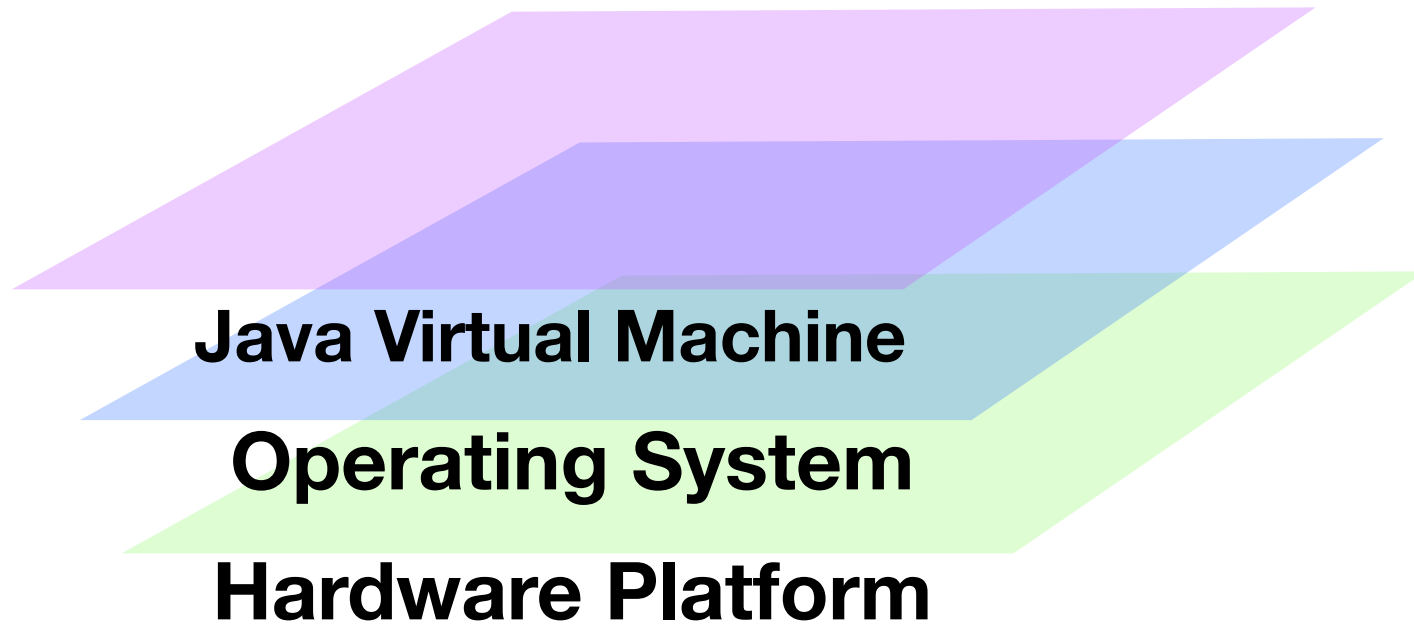
Jan Vitek

CS

Spring 2011

# Java Virtual Machine

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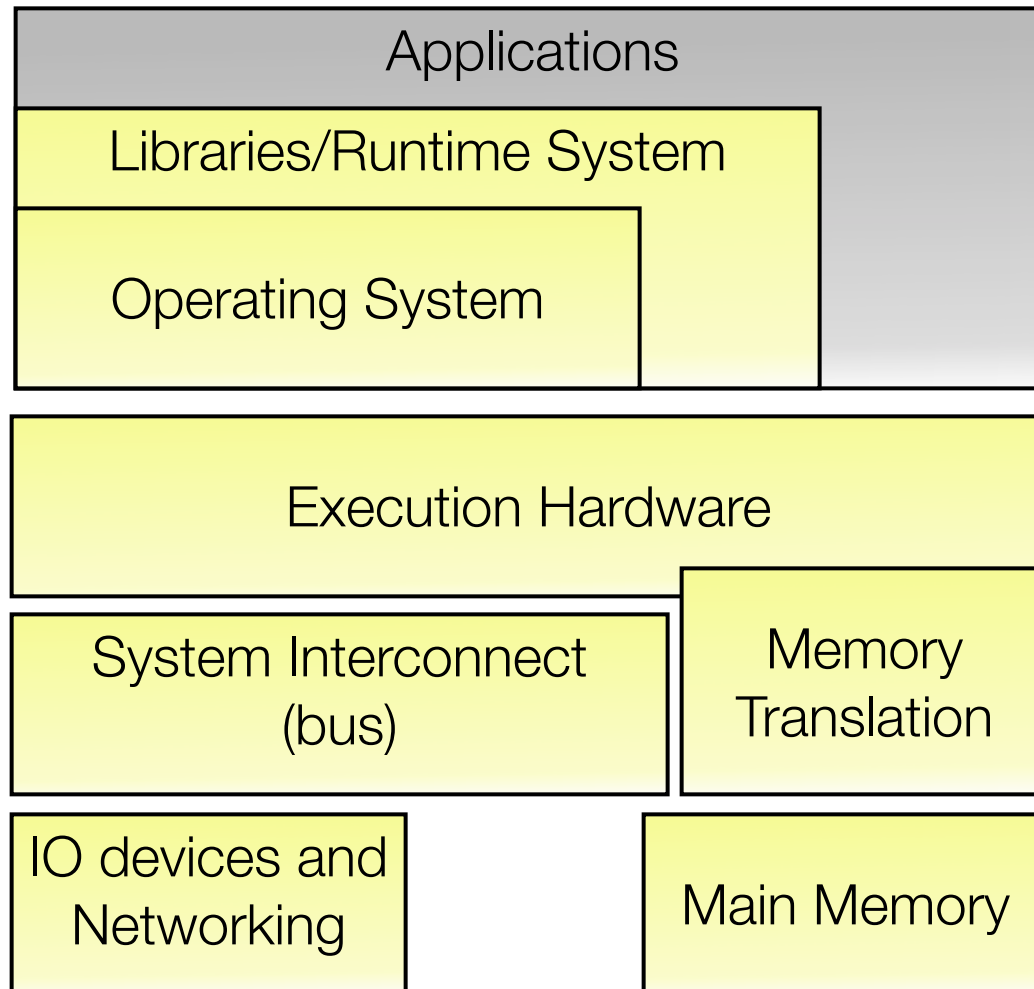
# Multiple Platforms

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# What is the "Machine"?

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# Java

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# A few words of history

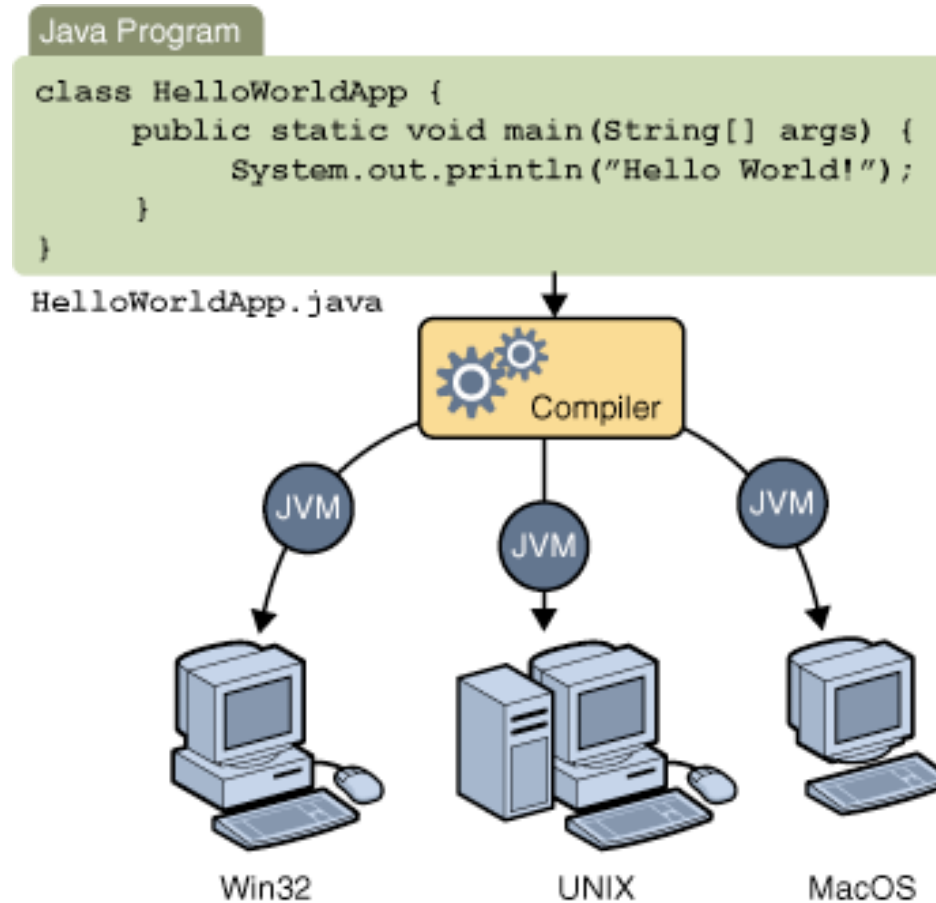
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- ~ **1985** - James Gosling designs NeWS - Network/extensible Window System for Sun Microsystems. NeWS is a portable dynamically-typed object-oriented language, with garbage collection, a portable code format, dynamic loading.
- **1992** - James Gosling designs Oak - a statically-typed object-oriented language for embedded devices. Oak has inheritance, garbage-collection, a portable intermediate representation, type-safe, but a syntax like C.
- **1995** - Java, aka Oak, is introduced. It runs on devices with >20MB of main memory.



# From Source Code to Running Program

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# Java Virtualizes...

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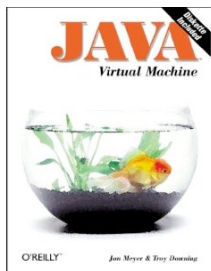
- ... locale
- ... threading
- ... endianness
- ... memory models
- ... operating system
- ... program extension
- ... data representation
- ... memory management

## A Main Reference Source

The Java™ Virtual Machine Specification (2nd Ed)  
by Tim Lindholm & Frank Yellin  
Addison-Wesley, 1999

The book is on-line and available for download:

<http://java.sun.com/docs/books/vmspec/>

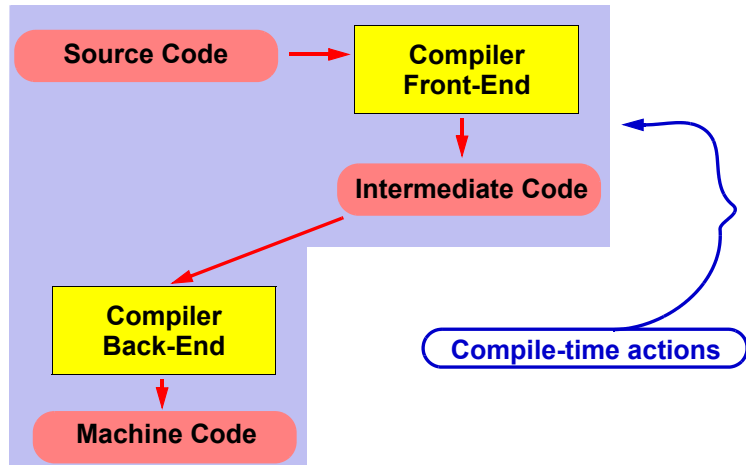




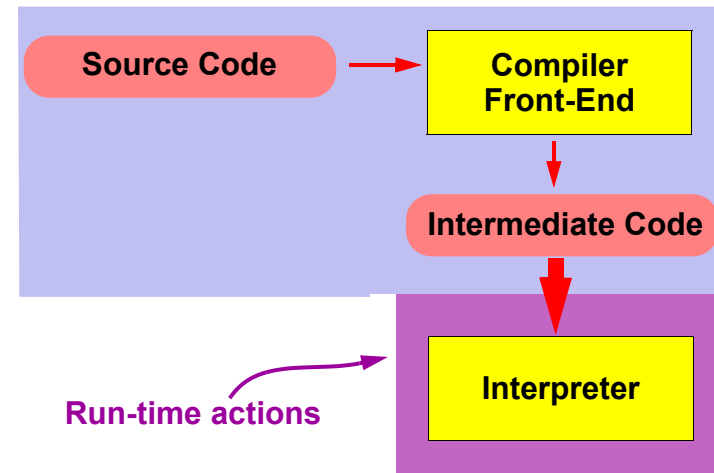
# Implementing programming languages

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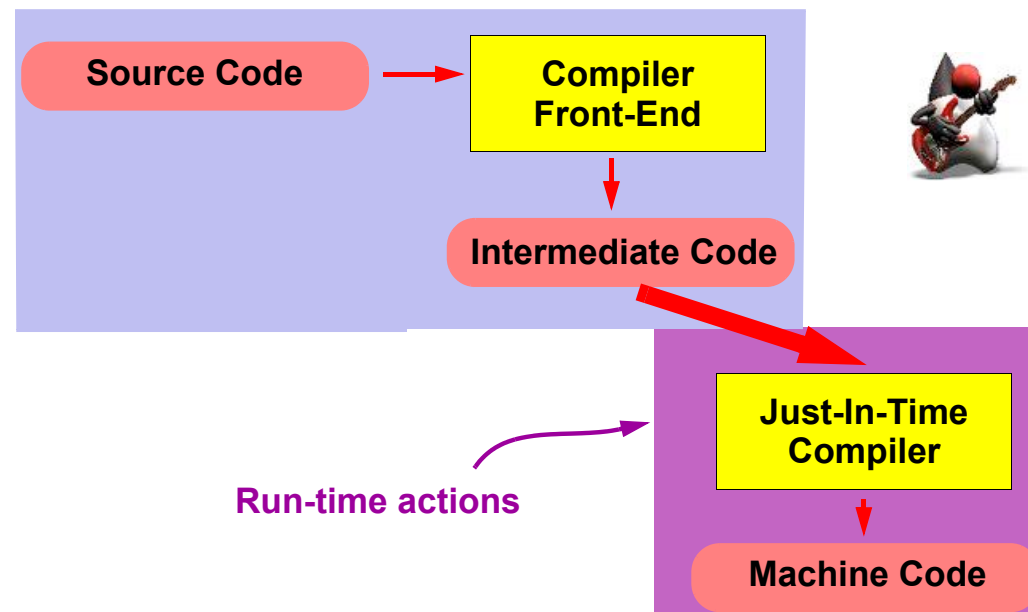
## Usual Programming Language Implementation



## Another Programming Language Implementation



## And Another Implementation



# An Overview



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- Source code is translated into an intermediate representation, (IR)
- The IR can be processed in these different ways:
  - 1 compile-time (static) translation to machine code
  - 2 emulation of the IR using an interpreter
  - 3 run-time (dynamic) translation to machine code = JIT (Just-In-Time) compiling

**What is IR?**

**IR is code for an idealized computer, a *virtual machine*.**

# A Small IR and its Interpreter (1/3)

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**We need a representation scheme for the bytecode. A simple one is:**

- to use one byte for an opcode,
- four bytes for the operand of LDI,
- two bytes for the operands of LD, ST, JMP and JMPF.

**As well as 0 for STOP, we will use this opcode numbering:**

LDI	LD	ST	ADD	SUB	EQ	NE	GT	JMP	JMPF	READ	WRITE
1	2	3	4	5	6	7	8	9	10	11	12

**The order of the bytes in the integer operands is important. We will use *big-endian* order.**

# A Small IR and its Interpreter (2/3)

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It emulates the fetch/decode/execute stages of a computer.

```
for( ; ; ) {
    opcode = code[pc++];
    switch(opcode) {
        case LDI:
            val = fetch4(pc);  pc += 4;
            push(val);
            break;
        case LD:
            num = fetch2(pc);  pc += 2;
            push( variable[num] );
            break;
        ...
        case SUB:
            right = pop();  left = pop();
            push( right-left );
        ...
    }
}
```

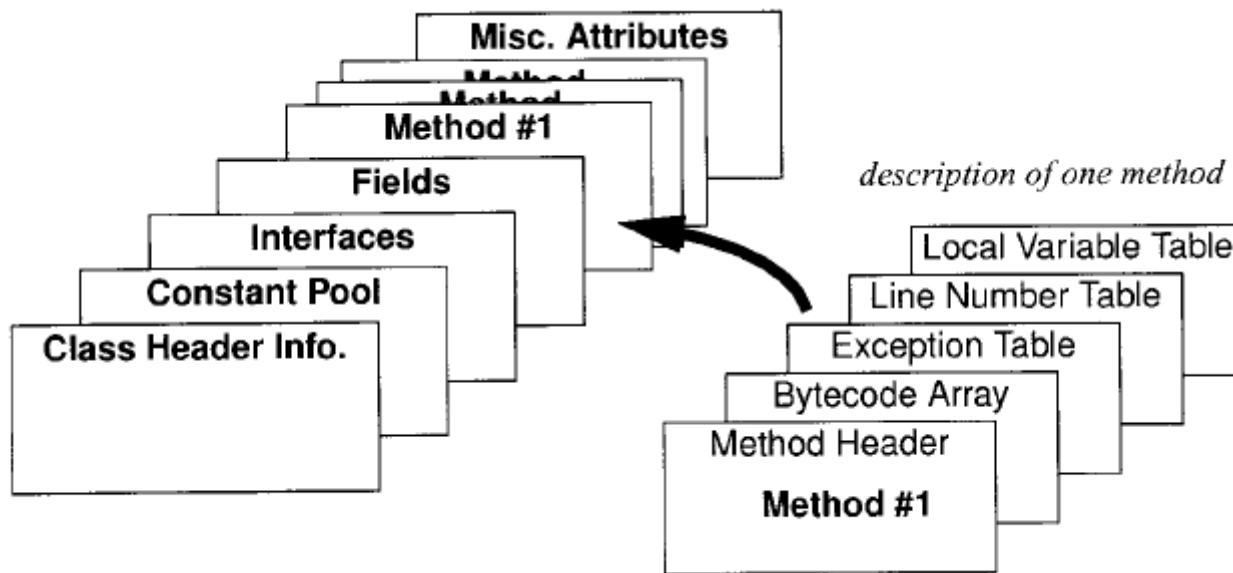
# A Small IR and its Interpreter (3/3)

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```
    case JMP:
        pc = fetch2(pc);
        break;
    case JMPF:
        val = pop();
        if (val)
            pc += 2;
        else
            pc = fetch2(pc);
        break;
    ...
} /* end of switch */
} /* end of for loop */
```

# The Java Classfile

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# JVM Architecture

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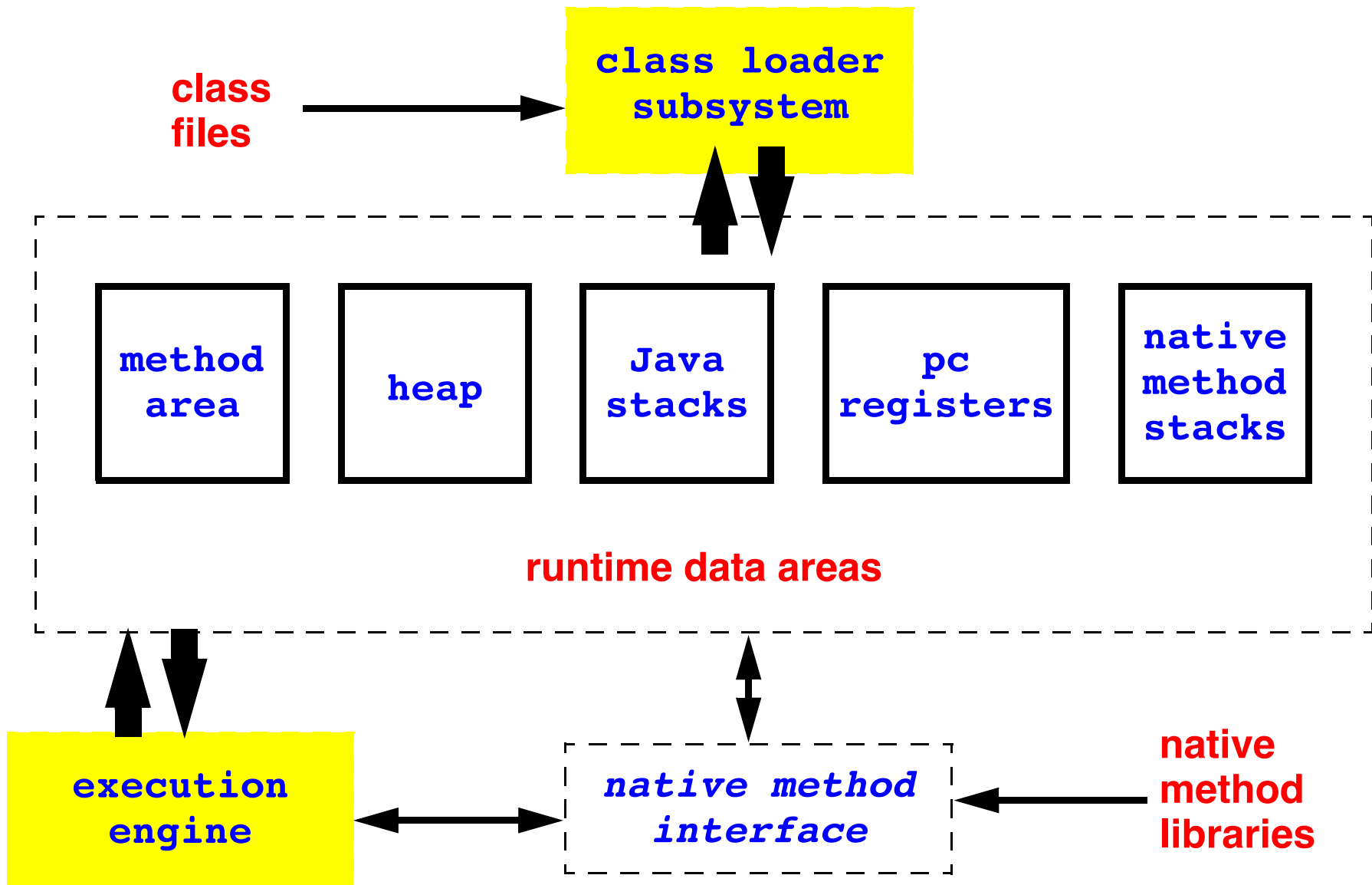
**The internal runtime structure of the JVM consists of:**

- One: (i.e. shared by all threads)
  - method area
  - heap
- For each thread, a:
  - program counter (pointing into the method area)
  - Java stack
  - native method stack (system dependent)



# Runtime structure

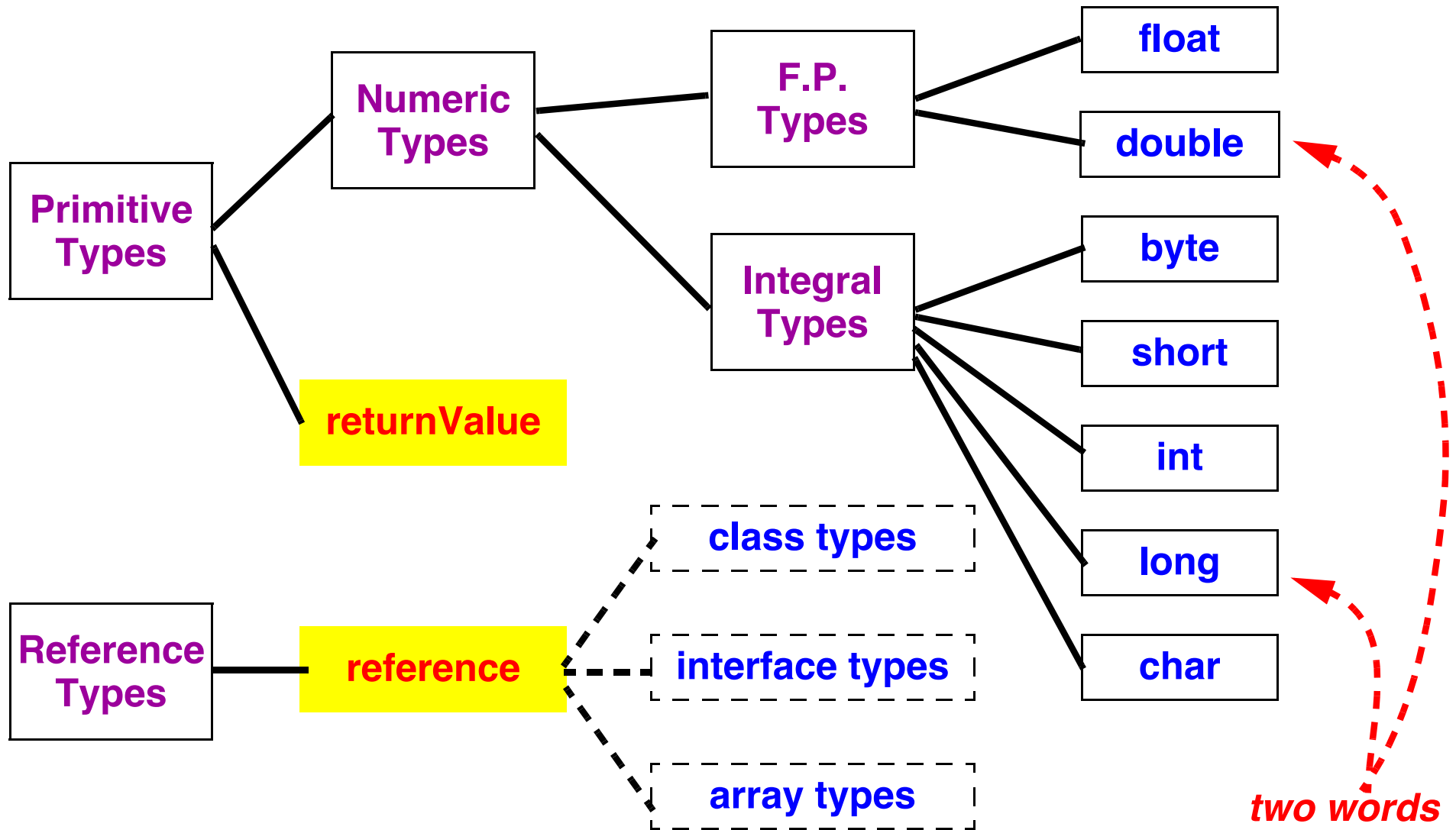
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# Datatypes

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# Java Bytecode

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```
int bar(int i) {
    try {
        if (i == 3) return this.foo();
    } finally {
        this.ladida();
    }
    return i;
}
```

Region	Target
1-12	17
13-16	21

```
01  iload_1          // Push i
02  iconst_3        // Push 3
03  if_icmpne 10     // Goto 10 if i does not equal 3
    // Then case of if statement
04  aload_0         // Push this
05  invokevirtual foo // Call this.foo
06  istore_2        // Save result of this.foo()
07  jsr 13          // Do finally block before returning
08  iload_2         // Recall result from this.foo()
09  ireturn         // Return result of this.foo()
    // Else case of if statement
10  jsr 13          // Do finally block before leaving try
    // Return statement following try statement
11  iload_1         // Push i
12  ireturn         // Return i
    // finally block
13  astore_3        // Save return address in variable 3
14  aload_0         // Push this
15  invokevirtual ladida // Call this.ladida()
16  ret 3           // Return to address saved on line 13
    // Exception handler for try body
17  astore_2        // Save exception
18  jsr 13          // Do finally block
19  aload_2         // Recall exception
20  athrow          // Rethrow exception
    // Exception handler for finally body
21  athrow          // Rethrow exception
```

# Virtualizing Memory



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- Memory is a set of objects with fields, methods and a class + local variables of a method
- Memory is read by accessing a field or local variable
- Memory is modified by writing to a field or local variable
- Location and size of data are not exposed
- Memory allocation is done by call in `new`

▶ Question:

- Does `main()` terminate?

```
public class Main {
    static public
    void main(String[] a){
        Cell c1, c2 = null;
        while (true) {
            c1 = new Cell();

            c2 = c1;
        }
    }
}
```

```
class Cell { Cell next; }
```

# Virtualizing Memory

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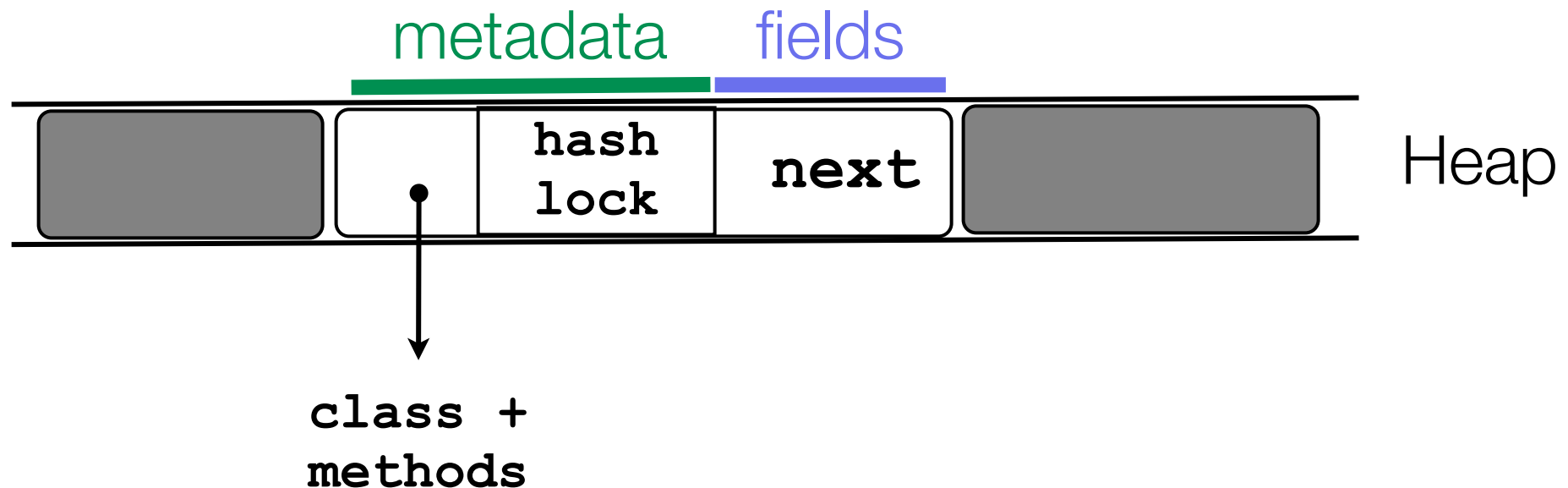
```
public class Main {
    static public
    void main(String[] a){
        Cell c1, c2 = null;
        while (true) {
            c1 = new Cell();
            c1.next = c2;
            c2 = c1;
        }
    }
}
```

```
class Cell {Cell next; }
```

# Virtualizing Memory

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- The semantics of `new` is as follows:
  - ▶ Allocate space for the object's fields and metadata fields
  - ▶ Initialize the metadata fields
  - ▶ Set all fields to null/zero/false
  - ▶ Invoke the user defined constructor method



# Virtualizing memory

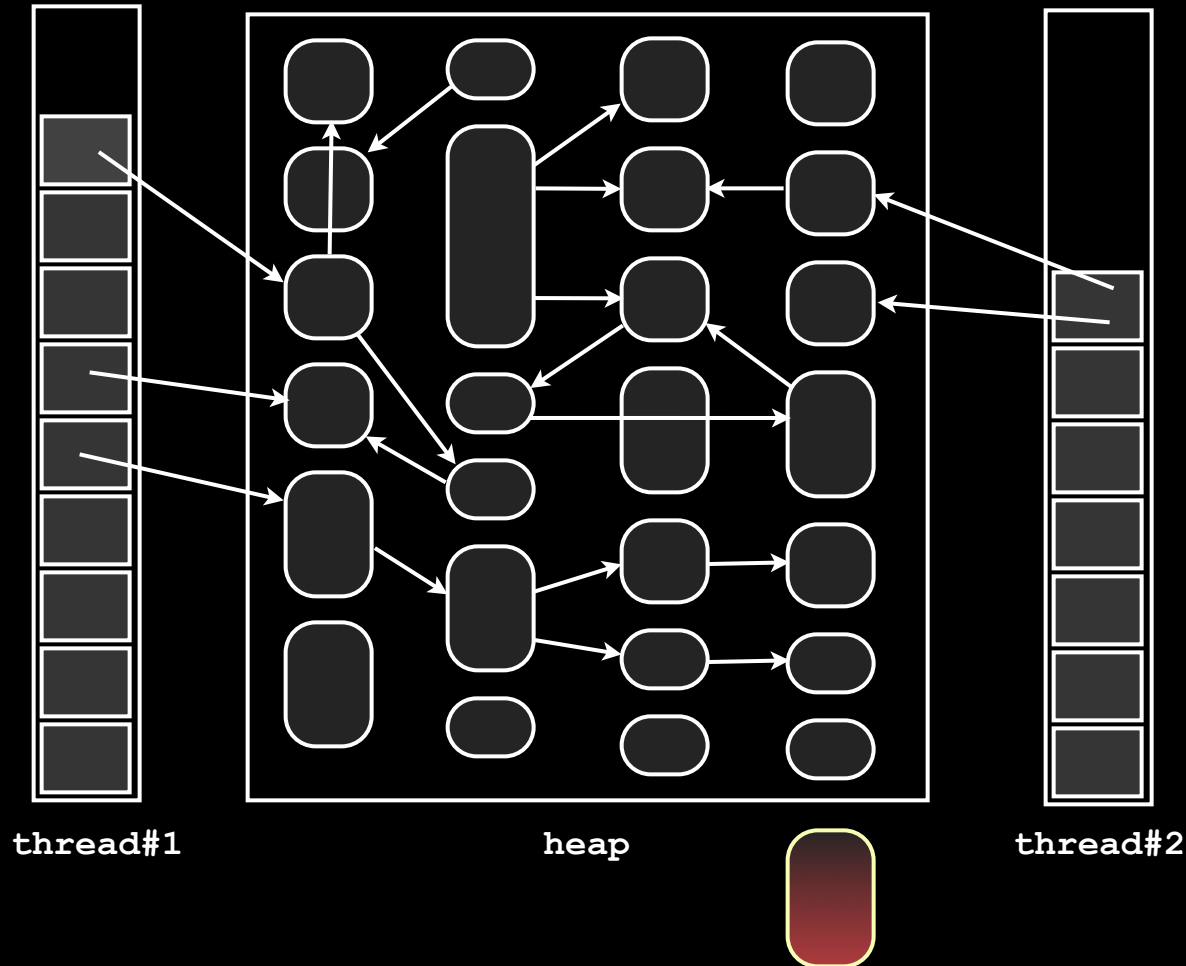
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- Garbage collection is the technology that gives the illusion of infinite resources
- Garbage collection or GC is implemented by the programming language with the help of the compiler
  - ▶ Though for a some well-behaved C programs it is possible to link a special library that provides most of the benefits of GC
- ▶ Question:
  - *How does GC work?*

# Garbage Collection

## Phases

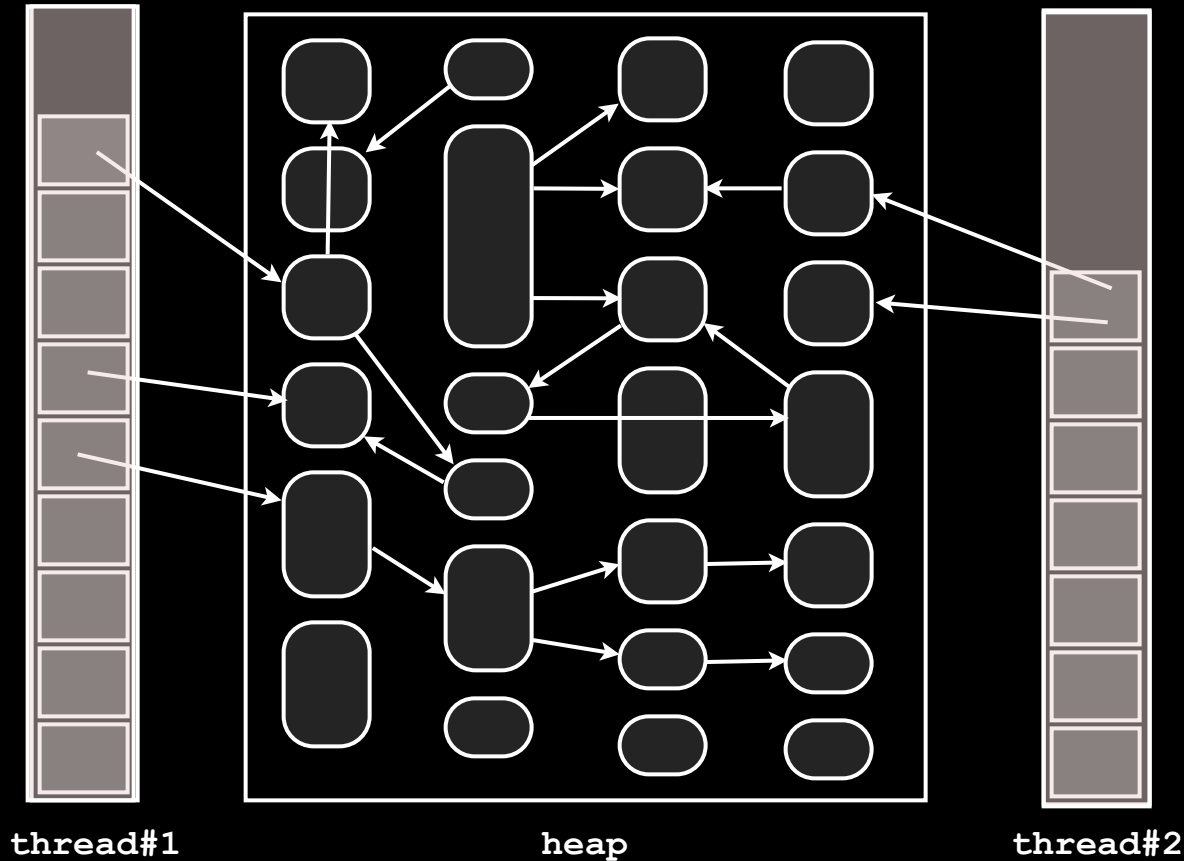
- Mutation
- Stop-the-world
- Root scanning
- Marking
- Sweeping
- Compaction



# Garbage Collection

## Phases

- Mutation
- Stop-the-world
- Root scanning
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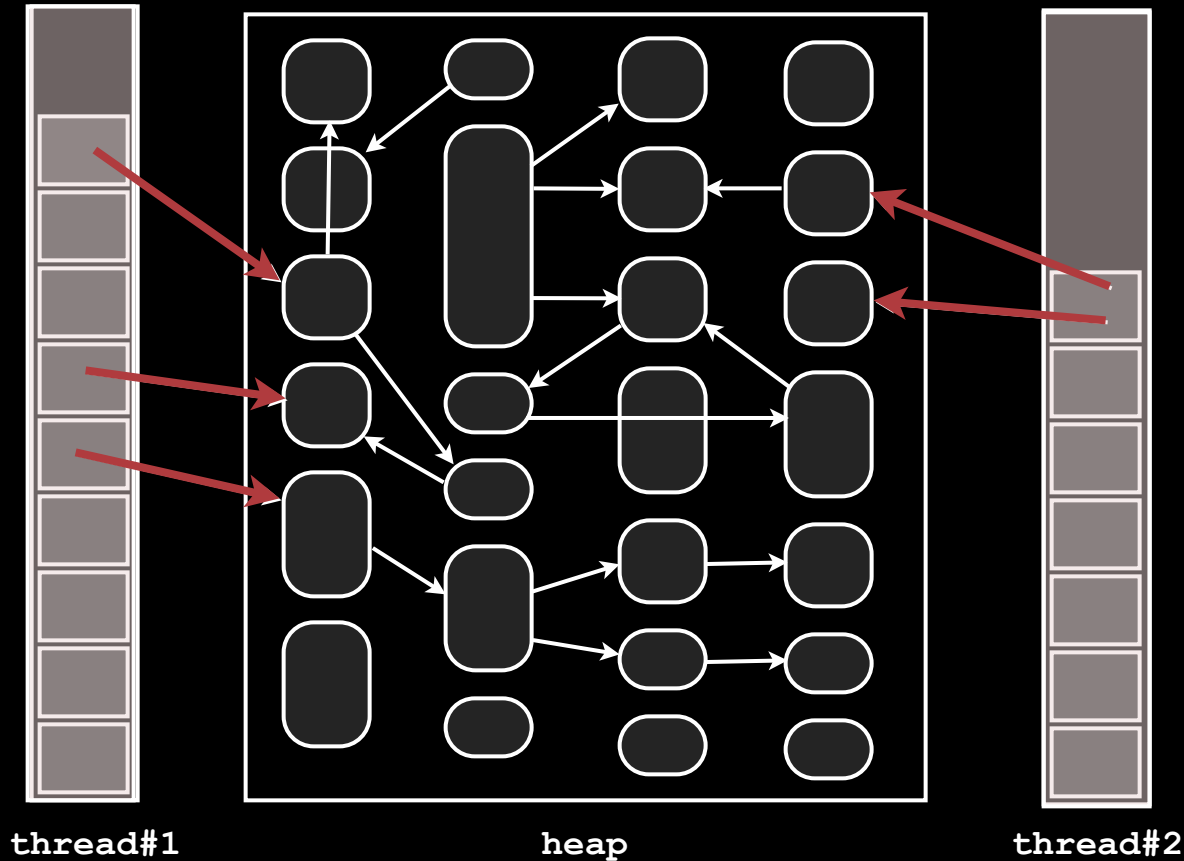




# Garbage Collection

## Phases

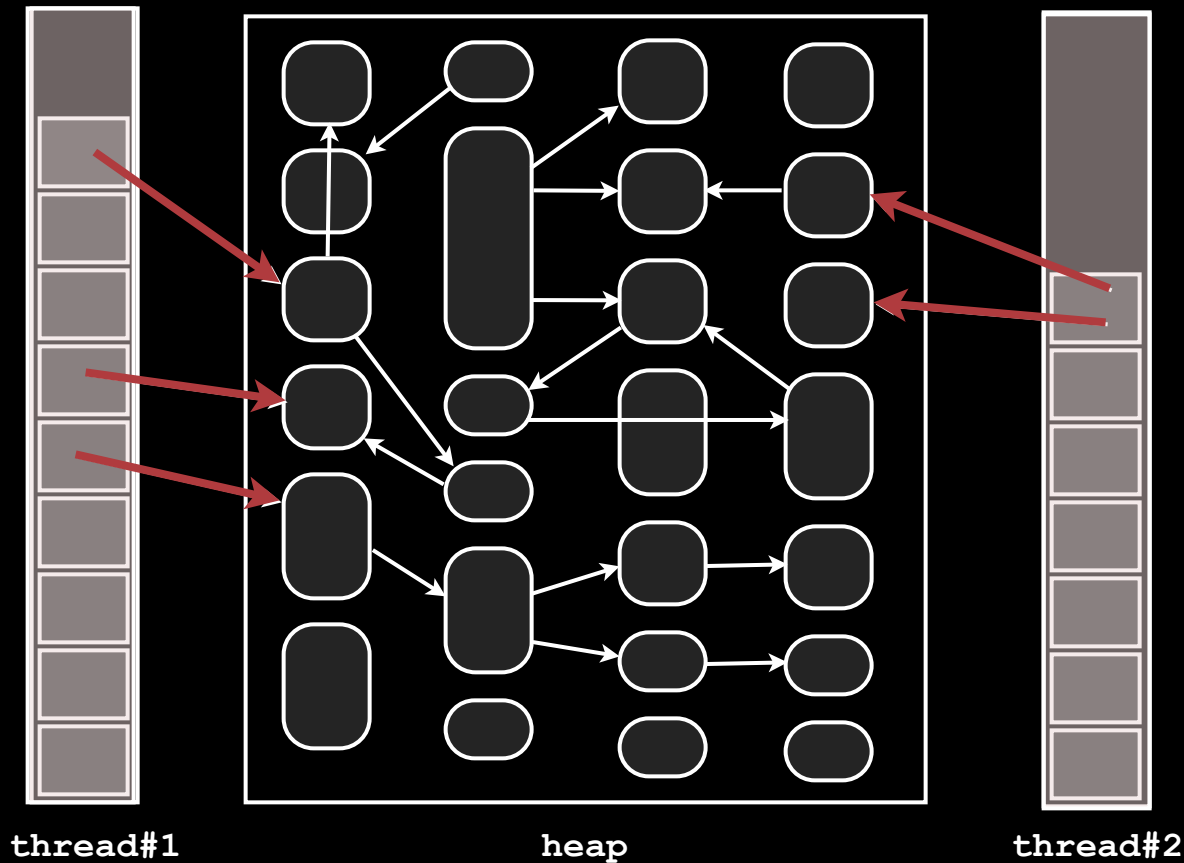
- Mutation
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- **Root scanning**
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# Garbage Collection

## Phases

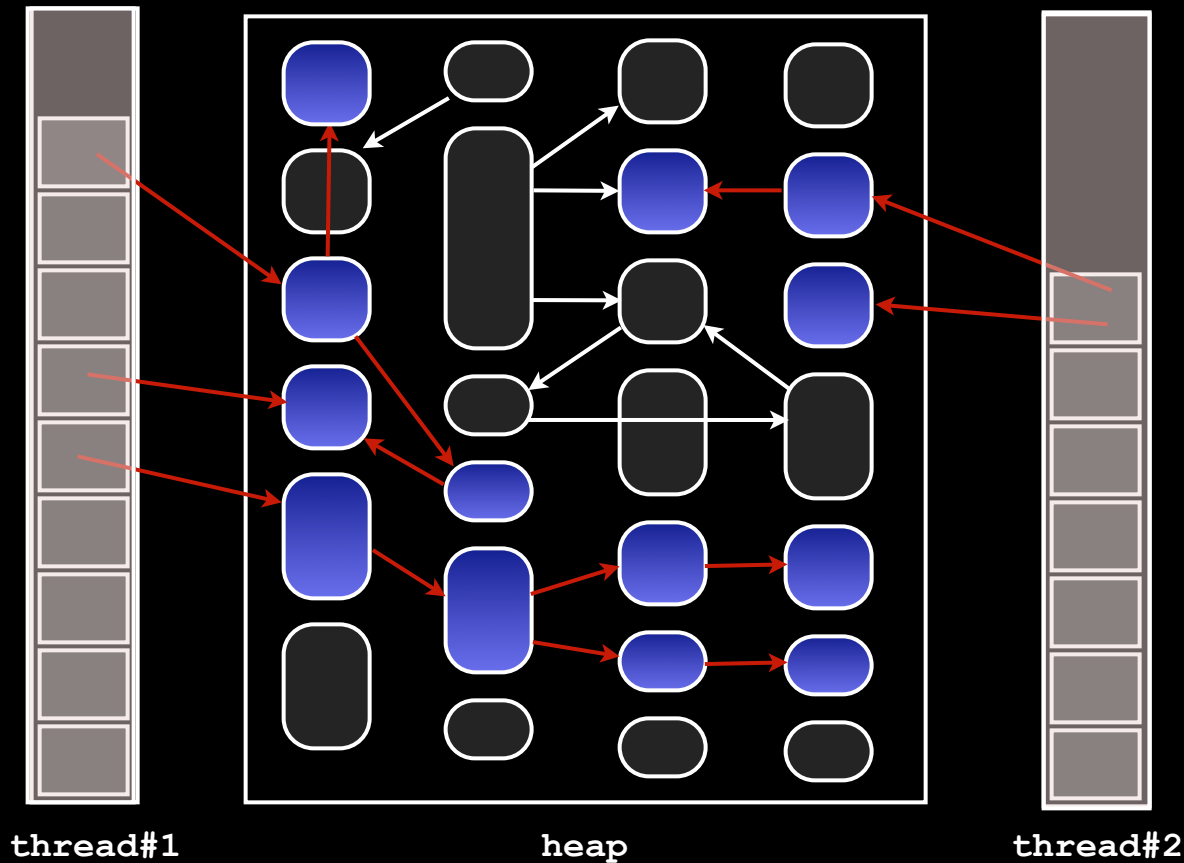
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# Garbage Collection

## Phases

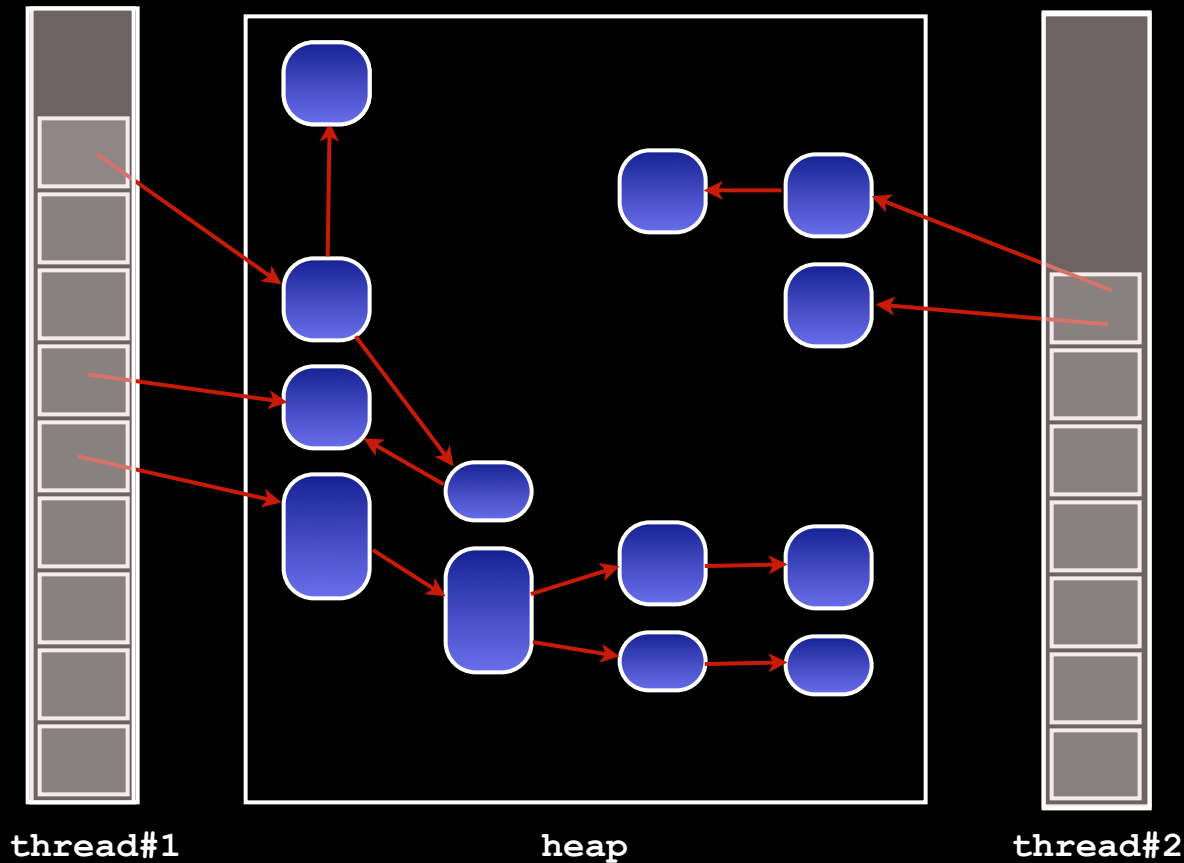
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# Garbage Collection

## Phases

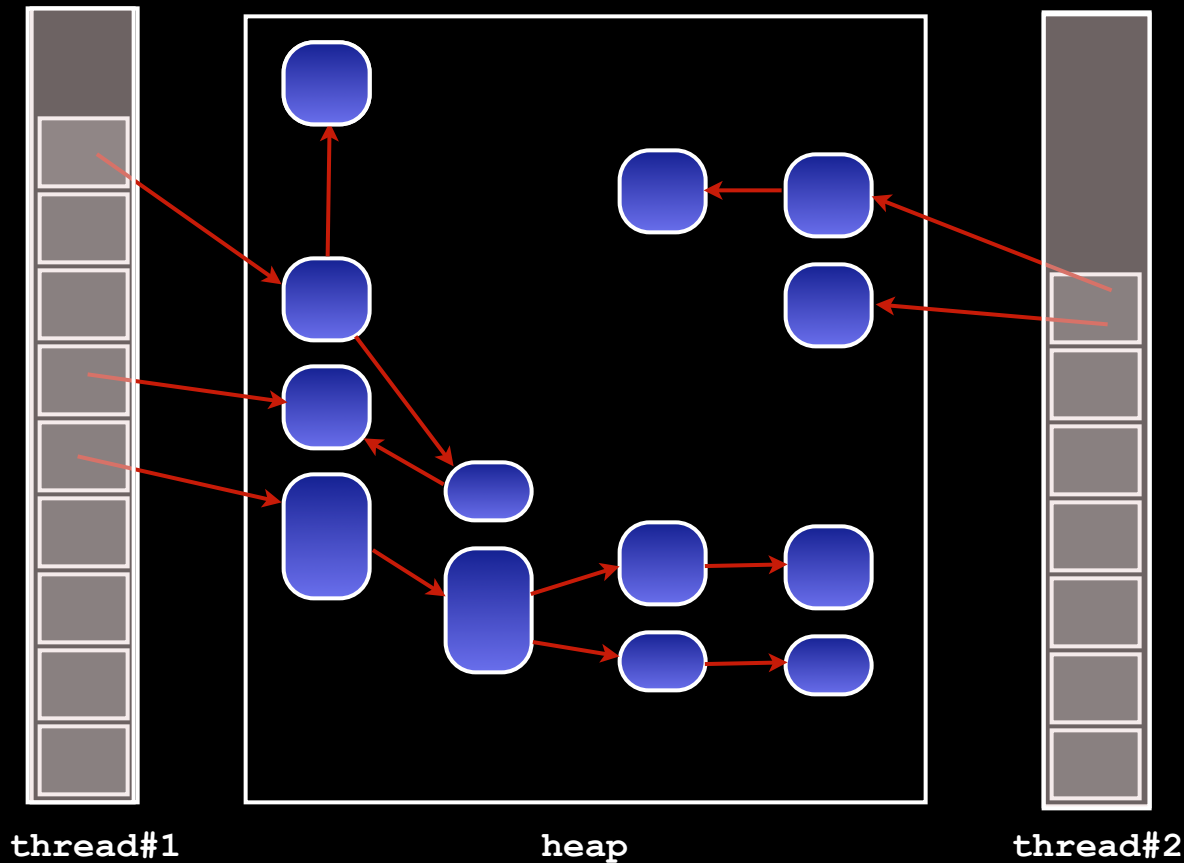
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# Garbage Collection

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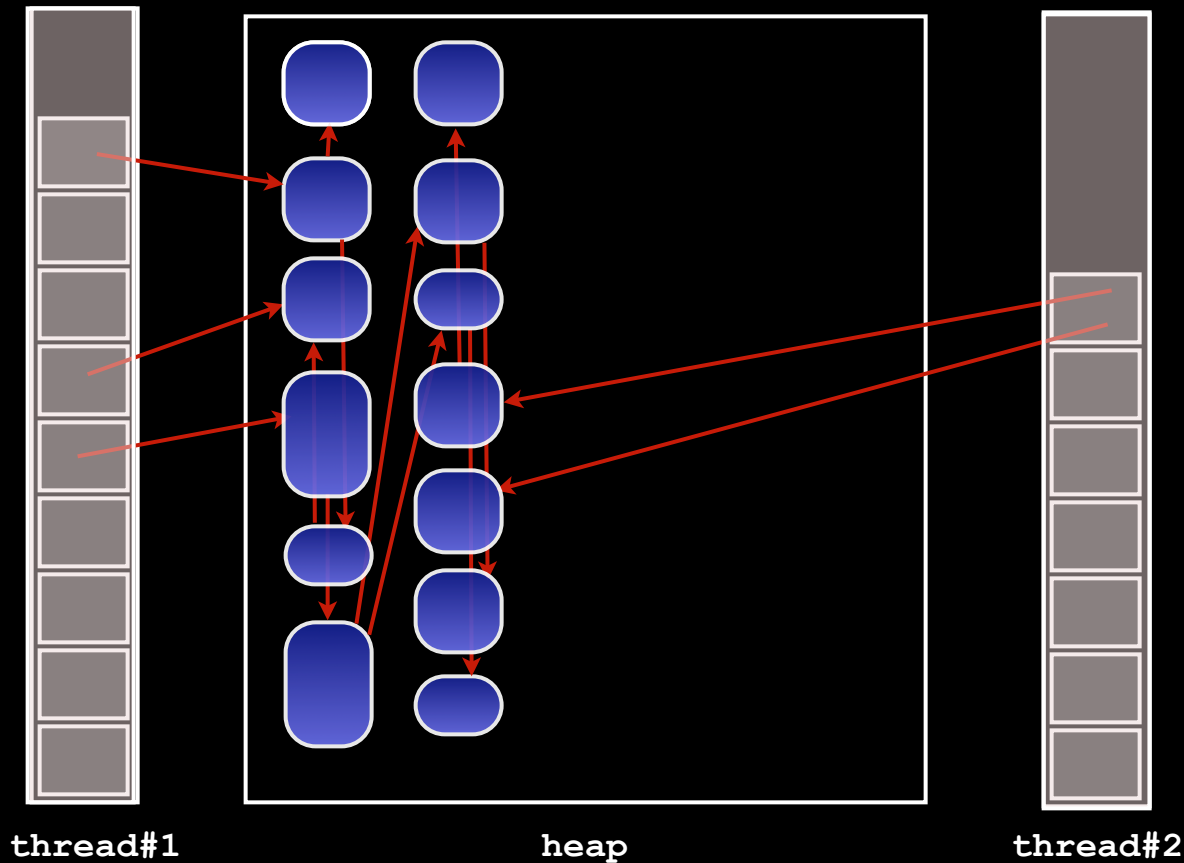
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# Garbage Collection

## Phases

- Mutation
- Stop-the-world
- Root scanning
- Marking
- Sweeping
- **Compaction**



# Conclusion

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- The Java Virtual Machine provides a level of abstract over the hardware and the operating system that hides their specificities
- Java source code is compiled to bytecode (javac)
- Bytecode is either interpreted or JIT-ed to execute by the JVM (java)

