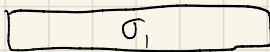
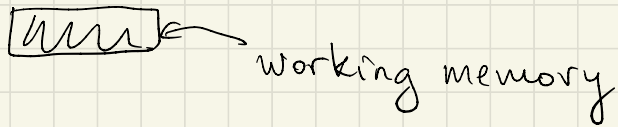
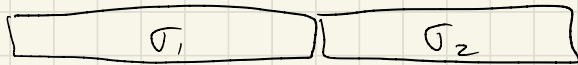


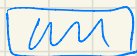
Today:
&
next time

Data streaming lower bounds
from communication complexity

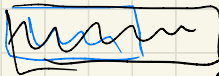
Data stream



M_1

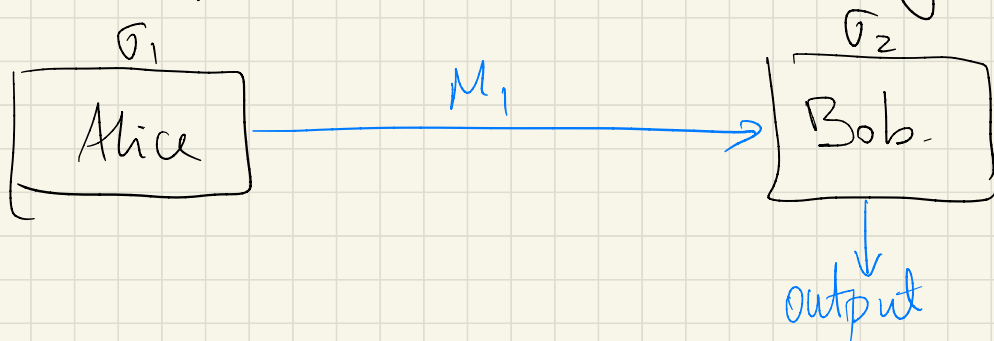


↑ current state of working memory
enough to start processing σ_2



↑ final output / state

View problem as a communication game.



Alice & Bob each have an input
 σ_1, σ_2 respectively

& want to compute $f(\sigma_1, \sigma_2)$
with min # of bits communicated.

Intuitively, if we have a streaming alg
with space S bits, \exists a communic.
protocol in which Alice sends the
 S bits & Bob on σ_1 & σ_2 outputs
the answer.

So if can show a reduction from
a communication problem to a streaming
problem, & have a lower bound for
communic. problem then have lower bound
for the amount of space of streaming
problem.

Some basic communication complexity:

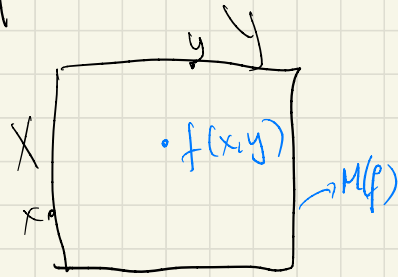
2 (or more parties) cooperate to compute f on their inputs.

Say $f: X \times Y \rightarrow \{0,1\}$

Alice gets input $x \in X$

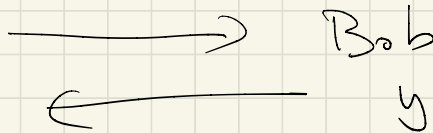
Bob

$y \in Y$



Goal: output $f(x,y)$ with **min** amount of bits exchanged.

Alice
 x



Bob
 y

(communication is expensive)

Time/space don't matter.

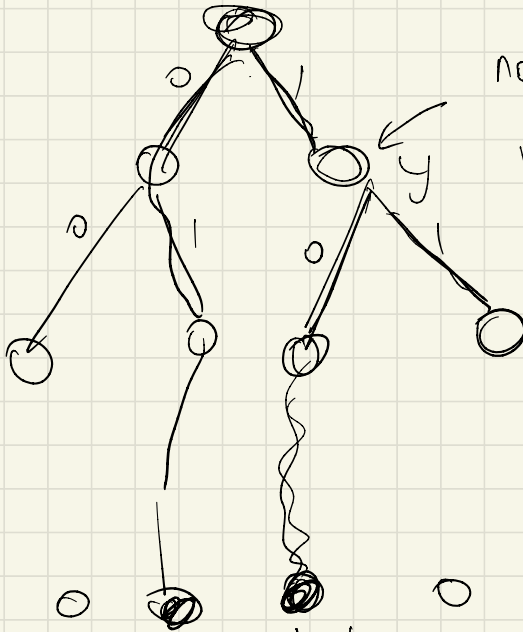
Trivial: $\min\{\log |X|, \log |Y|\} + 1$
(send entire msg)

Want: **sublinear** in \uparrow

Parties follow a protocol.

↓
represented by a binary decision tree.

$msg_{A,0} : X \rightarrow \{0,1\}$



nodes are associated with func

$msg_{A,round i} : X \times \{0,1\} \rightarrow \{0,1\}$

$msg_{B,round i} : Y \times \{0,1\} \rightarrow \{0,1\}$

output
0/1. = $f(x,y)$

↑ leaves

$out^{\pi}(x,y)$ → outcome of protocol π on x,y .

Def: π computes f if $out^{\pi}(x,y) = f(x,y)$
 $\forall x,y \in X \times Y$

Cost of protocol π : worst case # of bits communicated over all inputs.

Types of protocols :

- deterministic : $D(f)$: min cost of a protocol that is correct on every input.

- Randomized :

- private coin - players have private rand bits

- public coin - players use a public string of random bits

↑ stronger version

(usual notion of randomized protocol here

Def: Π computes f with error δ if

$$\forall (x, y) \in X \times Y$$

$$\Pr_{\substack{R \\ \bar{R}}} [\text{out}^{\bar{U}}(x, y; \bar{R}) \neq f(x, y)] \leq \delta.$$

Def: $R_{\delta}(f)$ is the min cost of a δ -error protocol for f .

Claim: $\forall 0 < \delta < \frac{1}{3}$

$$R_{\delta}(f) = \Theta_{\frac{1}{3}}(R(f))$$

Def: $\vec{D}^1(f)$
 $\vec{R}^1(f)$ \triangleright one way protocols.
Alice only talks.

$D^k(f)$; $R^k(f)$ k -round communication

Lecture 1a

Basic Observations:

- ① $R(f) \leq D(f) \leq \min \log |X|, \log |Y|$
- ② $D(f) \leq D^k(f)$, $\forall k > 0$
- ③ $R(f) \leq R^k(f) \leq R^l(f)$
 $\forall k \geq l$

Specific com. problems:

• $EQ_N(x, y) = \begin{cases} 1 & x = y \\ 0 & \text{ow} \end{cases}$

$x, y \in \{0, 1\}^N$

$\begin{matrix} \nearrow \text{Alice} \\ \nearrow \text{Bob} \end{matrix}$

$$D(EQ_N) \geq N$$

$$R^{\text{priv}} = O(\log N)$$

INDEX

$$\text{IND}_N(x, i) = x_i$$

\swarrow Alice \searrow Bob
 \downarrow \downarrow
 $\in \{0,1\}^N$ $\in [N]$

one way \rightarrow

$$D(\text{IND}_N) \geq N$$

$$R^{\rightarrow}(\text{IND}_N) \geq \Omega(N); R(\text{IND}_N) = \Omega(N)$$

$$D^2(\text{IDX}) \leq \log N$$

DISJ_N(x, y) = $\begin{cases} 1 & \text{if } x \cap y = \emptyset \\ 0 & \text{otherwise} \end{cases}$

\swarrow Alice \searrow Bob
 \downarrow \downarrow
 $\in \{0,1\}^N$

\nearrow share veds of sets
 or

$$R(\text{DISJ}_N) \geq \Omega(N)$$

Claim: $\vec{D}(\text{IDX}_N) \geq N$

Pf: Alice $\xrightarrow{\text{msg}(x)}$ Bob,
x j

? x_j

Deterministic: Bob, no matter what $j \in N$ he has, must recover x_j from

$m(x)$, exactly.

Alice has 2^N many msgs. x

Bob needs to recover every bit of x from $m(x)$. So Bob can recover x from $\text{msg}(x)$.

$x \xrightarrow{\text{Bob}(\text{msg}(x))} x$

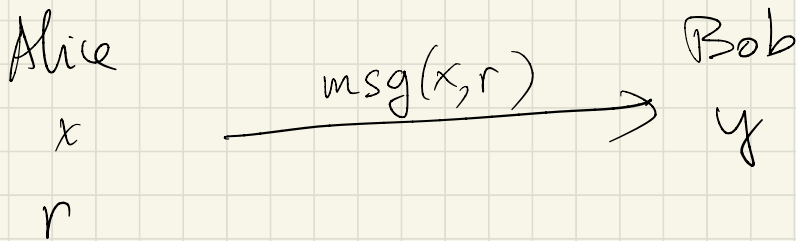
So both mappings are 1-1 & onto.

How many msg can be sent of length $< N$

$$2^{N-1} + 2^{N-2} + \dots + 2 + 1 = 2^N - 1 < 2^N \leftarrow$$

So if \exists protocol of length $\leq N-1$
 func. msg would not be a bijection.
 (contradiction)

Claim: $R^{\text{priv}}(\text{EQ}_N) = O(\log N)$



$$\text{EQ}_N(x, y) = \{x = y\} ? \}$$

Pf: Alice, Bob can view their inputs

as polys:

$$x \rightarrow P_x(t) = \sum_{i \in [N]} x_i t^i$$

$$y \rightarrow P_y(t) = \sum y_i t^i$$

$P_x, P_y \in \mathbb{F}[t]$ ← they agree on beforehand.

Alice picks rand $r \in \mathbb{F}$
send $r, P_x(r)$ to Bob.

Bob compares $P_y(r)$ with $P_x(r)$

If " $=$ " output 1
else outp 0

bits communicated

$$|r| + |P_x(r)|$$

||

$$\log |\mathbb{F}| + \log |\mathbb{F}| = 2 \log |\mathbb{F}|$$

$\Pr[\text{error} = ?]$.

$$\uparrow \\ O(\log N)$$

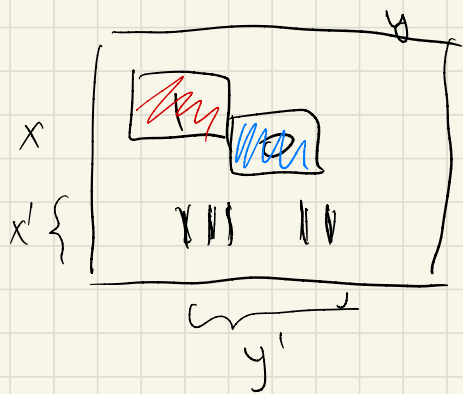
$$\sum_{x \neq y} P_r \left[P_x(r) = P_y(r) \right] \leq \frac{N}{\|F\|}$$

$$\|F\| = 20N$$

$$\text{or } \|F\| = N^2$$

Communication Matrix

Detour into Log Rank conjecture



Def: $C \subseteq X \times Y$ is a combinatorial rectangle

$$\text{if } C = X' \times Y'$$

$$X' \subseteq X, Y' \subseteq Y$$

Def: C is monochromatic wrt f if

$$f(x, y) = f(x', y') \quad \forall (x, y) \in C.$$

Def: Let $C^D(f)$ be min # of monochrom. rectangles that can partition M_f .

$$\text{Thm: } D(f) \geq \log(C^D(f)).$$

Thm: $f: X \times Y \rightarrow \{0,1\}$

$$D(f) \geq \log(\text{rank}(M_f))$$

Claim: Let l be a leaf of the decision tree protocol

Let $C_l = \{ (x,y) \text{ whose transcript ends at } l \}$

Then C_l is a combinatorial rectangle:

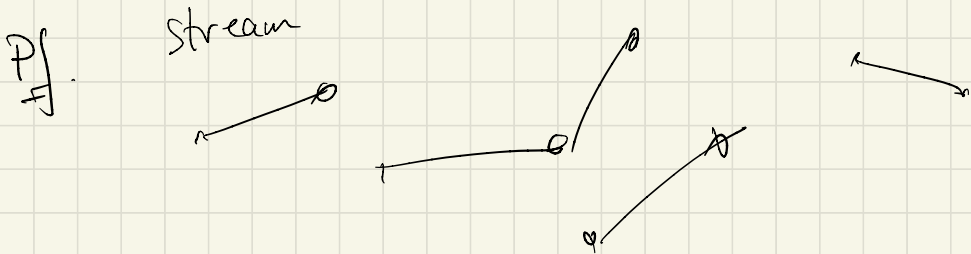
$$\left. \begin{array}{l} \forall (x,y) \in C_l \\ (x',y') \in C_l \end{array} \right\} \begin{array}{l} (x',y) \in C_l \\ (x,y') \in C_l \end{array}$$

Thm • $D(f)$ NP hard to compute

• Big conj: $D(f) = O(\text{poly log rank } M_f)$

Lovett: $D(f) = O(\sqrt{\text{rank } M_f} \cdot \log \text{rank } M_f)$

Thm: Any single-pass det algorithm for deciding if a graph on n vertices has a perfect matching needs $\Omega(n^2)$ bits of memory.



$$D(\text{id}X_N(x, i)) = \Omega(N)$$

$$R(\text{id}X_N(x, i)) = \Omega(N)$$

Given $X = \begin{matrix} & & j & & \\ & 0 & 0 & 1 & \\ i & - & - & 1 & - \\ & & & & n \end{matrix} \quad M_A$

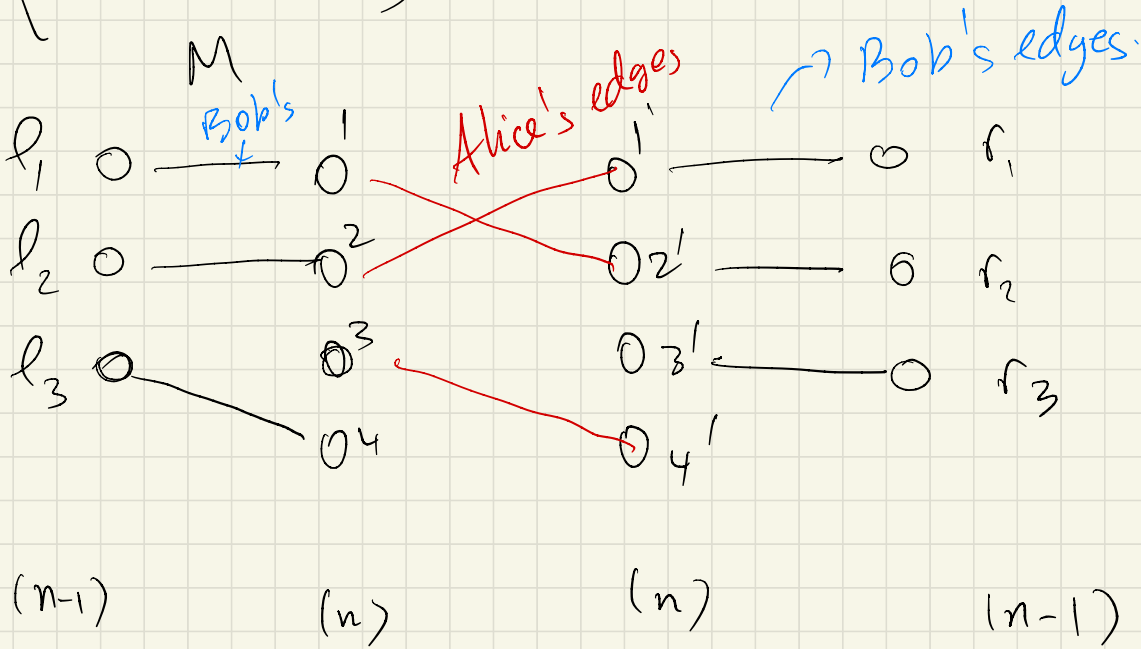
Alice gets \uparrow , Bob gets (i, j)

$$\Omega(\text{id}X_N(x, i)) = \Omega(n^2)$$

Eg.

$$\begin{pmatrix}
 0 & 1 & 0 & 0 \\
 1 & 0 & 0 & 0 \\
 0 & 0 & 0 & 1 \\
 0 & 0 & 0 & 0
 \end{pmatrix}$$

$$M(i,j) = (3,4)$$



G

Claim: $M(i,j) = 1$ iff G has a perfect matching.

So, Stream Alice's before Bob's edges.
 Can come up with protocol in which Alice sends

memory content to Bob as soon as her stream of edges was processed.

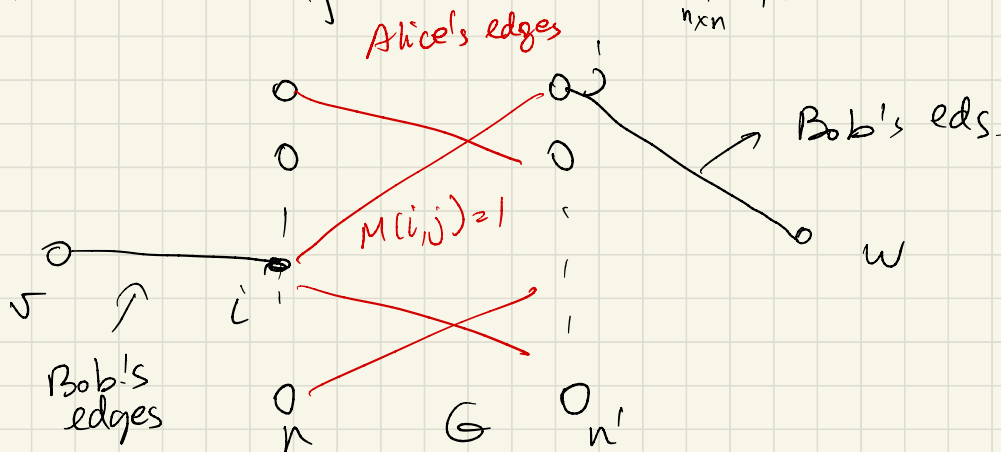
So, if can solve streaming problem in $O(n^2)$ space then

can solve IND_{n^2} problem in

$O(n^2)$ bits communicated, a contradiction.

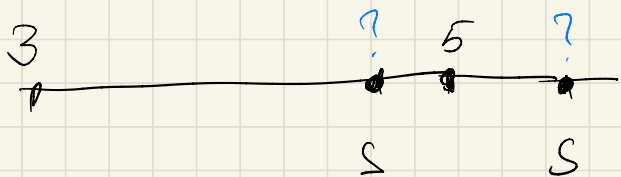
Thm: Any streaming deterministic one-pass approx alg for shortest path (v,w) with approx factor $\leq \frac{5}{3}$ uses $\Omega(n^2)$ space.

Pf: Reduce from $IND_{n^2} \times (M_{n \times n})$.



Use the streaming alg with $O(n^2)$ space to compute $\frac{5}{3}$ approx for $d(v, w)$, & output S . Stream Alice's edges before Bob's. In the cc protocol Alice sends her memory content to Bob.

If $M(i, j) = 1$ then $d(v, w) = 3$
 or $d(v, w) \geq 5$
 (could be ∞)



Use output S to decide whether edge (i, j) exists.

If $S < 5$ output $\text{IDX}(M, i, j) = 1$
 or $\text{output IDX}(M, i, j) = 0$

(Indeed, if $\text{OPT} = 3 \Rightarrow S \leq 5$
 or $S > \text{OPT} = 5$)

So, can solve IND_{n^2} in $O(n^2)$. Contradiction.