Lecture 06b: Graph Representation

# Objective

- We shall develop a new graph representation to argue the security and correctness of cryptographic schemes
- As a representative application of this notation, we shall analyze private-key Encryption schemes using graphs

# Assumption about Private-key Encryption Schemes

For simplicity of proof and clarity of intuition, we shall consider the class of all private-key encryption algorithms with the following restrictions

- ② The encryption algorithm  $\operatorname{Enc}_{\operatorname{sk}}(m)$  is deterministic I want to emphasize that with a bit of effort, these *restrictions* can be removed

# Graph of Private-key Encryption

Suppose (Gen, Enc, Dec) is a private-key encryption scheme that satisfies the two restrictions we mentioned earlier. We construct the following bipartite graph

- ullet The left partite set is the set of all message  ${\cal M}$
- ullet The right partite set is the set of all cipher-texts  ${\cal C}$
- Given a message  $m \in \mathcal{M}$  and a cipher-text  $c \in \mathcal{C}$ , we add an edge (m,c) labeled sk, if we have  $c = \operatorname{Enc}_{\operatorname{sk}}(m)$

This is the graph corresponding to the encryption scheme (Gen, Enc, Dec)

**Intuition.** The edge labeled sk witnesses the fact that the message m is encrypted to the cipher-text c. Or, we write this as  $m \xrightarrow{\text{sk}} c$ . We emphasize that there might be more than one secret key that witnesses the fact that the message m is encrypted to the cipher-text c. Let wt(m,c) represent the number of secret keys sk such that sk witnesses the fact that c is an encryption of m

# Describing Private-key Encryption Schemes

- Until now, we have represented private-key encryption scheme as a triplet of algorithms (Gen, Enc, Dec)
- Henceforth, we can equivalently express them as graphs

#### Property One: Characterization of Correctness

#### Theorem

A private-key encryption scheme (Gen, Enc, Dec) is <u>incorrect</u> if and only if there are two distinct messages  $m, m' \in \mathcal{M}$ , a secret key  $sk \in \mathcal{K}$ , and a cipher-text  $c \in \mathcal{C}$  such that  $m \xrightarrow{sk} c$  and  $m' \xrightarrow{sk} c$ .

- Note that if there are two messages m, m' such that  $m \xrightarrow{\operatorname{sk}} c$  and  $m' \xrightarrow{\operatorname{sk}} c$ , then Bob cannot distinguish whether Alice produced the cipher text c for the message m or m'. Hence, whatever decoding Bob performs, he is bound to be incorrect
- For the other direction, suppose Bob cannot decode the (sk, c) correctly. If there is a unique  $m \in \mathcal{M}$  such that  $m \xrightarrow{\text{sk}} c$ , then Bob can obviously decode correctly. So, there must be two different messages  $m, m' \in \mathcal{K}$  such that  $m \xrightarrow{\text{sk}} c$  and  $m' \xrightarrow{\text{sk}} c$

#### Property Two: Correct Schemes Cannot Compress I

#### Theorem

A correct private-key encryption scheme (Gen, Enc, Dec) has  $|\mathcal{C}| \geqslant |\mathcal{M}|$ .

- Suppose not. That is, assume we have a correct private-key encryption scheme with  $|\mathcal{C}| < |\mathcal{M}|$ .
- Fix any secret key  $sk \in \mathcal{K}$ .
- Suppose  $\mathcal{M} = \{m_1, m_2, \dots, m_{\alpha}\}$ . Consider the following maps

$$egin{aligned} m_1 & \stackrel{\mathsf{sk}}{\longrightarrow} c_1 \\ m_2 & \stackrel{\mathsf{sk}}{\longrightarrow} c_2 \\ & dots \\ m_lpha & \stackrel{\mathsf{sk}}{\longrightarrow} c_lpha \end{aligned}$$

# Property Two: Correct Schemes Cannot Compress II

Note that these mappings exist because, given any sk and m, the encryption algorithm maps to a unique ciphertext.

- Since  $|\mathcal{C}| < |\mathcal{M}|$ , by pigeon-hole principle there are two distinct messages  $m, m' \in \mathcal{M}$  and a cipher text  $c \in \mathcal{C}$  such that  $m \xrightarrow{\mathsf{sk}} c$  and  $m' \xrightarrow{\mathsf{sk}} c$
- So the scheme is incorrect. Hence contradiction.

# Property Three: Characterization of Security I

#### Theorem

A private-key encryption scheme (Gen, Enc, Dec) is secure if and only if for any c and two distinct messages  $m, m' \in \mathcal{M}$  we have  $\mathsf{wt}(m,c) = \mathsf{wt}(m',c)$ .

- For any  $m \in \mathcal{M}$  and  $c \in \mathcal{C}$ , note that we have  $\mathbb{P}\left[\mathbb{C} = c | \mathbb{M} = m\right] = \mathsf{wt}(m,c)/|\mathcal{K}|$ .
- Exercise: Prove that the security definition we have studied is equivalent to saying the following
  - "For any two distinct messages  $m, m' \in \mathcal{M}$  and a cipher-text  $c \in \mathcal{C}$  we have:  $\mathbb{P}\left[\mathbb{C} = c | \mathbb{M} = m\right] = \mathbb{P}\left[\mathbb{C} = c | \mathbb{M} = m'\right]$ "
- Given this result, we can conclude that a scheme (Gen, Enc, Dec) is secure if and only if
  - "For any two distinct messages  $m, m' \in \mathcal{M}$  and a cipher-text  $c \in \mathcal{C}$  we have:  $\operatorname{wt}(m, c) = \operatorname{wt}(m', c)$ "

# Property Three: Characterization of Security II

- Food for thought. In a secure scheme, if there are  $m \xrightarrow{sk} c$ , then for all  $m' \in \mathcal{M}$  there exists some sk' such that  $m' \xrightarrow{sk'} c$
- Food for thought. The size of the set  $\mathcal{K}$  need not be divisible by the size of the set  $\mathcal{M}$ . However, if there is a message m and a cipher-text c such that  $\operatorname{wt}(m,c)=w$ , then the number of secret keys  $|\mathcal{K}|\geqslant w|\mathcal{M}|$ . Why?

# Property Four: Correct+Secure Schemes need Lots of Keys I

#### Theorem

A correct and secure private-key encryption scheme (Gen, Enc, Dec) has  $|\mathcal{K}| \geqslant |\mathcal{M}|$ 

- Suppose not. That is, there is a correct and secure scheme with  $|\mathcal{K}| < |\mathcal{M}|$ .
- Fix a cipher-text  $c \in \mathcal{C}$  such that there exists  $m \in \mathcal{M}$  and  $\mathsf{sk} \in \mathcal{K}$  such that  $m \overset{\mathsf{sk}}{\longrightarrow} c$ . Intuitively, we are picking a ciphertext that has a positive probability. For example, we are not picking a ciphertext that is never actually produced.
- ullet Let the message space be  $\mathcal{M}=\{\emph{m}_1,\emph{m}_2,\ldots,\emph{m}_{lpha}\}$
- Note that, for any  $m_i \in \mathcal{M}$  there exists some  $\mathrm{sk}_i$  such that  $m_i \xrightarrow{\mathrm{sk}_i} c$  (This is a property of secure private-key encryption schemes that was left as an exercise in the previous slide)

Now, consider the mappings

$$m_1 \xrightarrow{\operatorname{sk}_1} c$$

$$m_2 \xrightarrow{\operatorname{sk}_2} c$$

$$\vdots$$

$$m_\alpha \xrightarrow{\operatorname{sk}_\alpha} c$$

- Since  $|\mathcal{K}| < |\mathcal{M}|$ , by pigeon-hole principle, there exists two distinct messages  $m_i$ ,  $m_j$  such that  $\mathsf{sk}_i = \mathsf{sk}_j$  in the above mappings.
- This violates correctness. Hence contradiction.

#### Optimality of One-time Pad

- Note that any correct private-key encryption scheme must have  $|\mathcal{C}| \geqslant |\mathcal{M}|$  (property two)
- Note that any correct and secure private-key encryption scheme must have  $|\mathcal{K}| \ge |\mathcal{M}|$  (property four)
- One-time pad is a correct and secure scheme that achieves  $|\mathcal{K}| = |\mathcal{C}| = |\mathcal{M}|$

# Additional Food for Thought

- Recall that Property four states that the "correctness and security" of a private-key encryption scheme implies that the size of the set of keys is greater-than-or-equal to the size of the set of messages. For any  $\mathcal{M}$ , construct a correct but insecure private-key encryption scheme such that  $|\mathcal{K}|=1$ ! This result shall show the necessity of (both) correctness and security in that property.
- Another natural question is: Can we provide such guarantees for private-key encryption schemes that are secure  $\underline{\text{but}}$  incorrect? The answer is NO. Think of a private-key encryption scheme that is secure (but incorrect) and works for any message set  $\mathcal M$  and has  $|\mathcal K|=|\mathcal C|=1!$