# CONGESTION CONTROL

Phenomenon: when too much traffic enters into system, performance degrades

 $\longrightarrow$  excessive traffic can cause congestion

Problem: regulate traffic influx such that congestion does not occur

 $\longrightarrow$  congestion control

Need to understand:

- What is congestion?
- How do we prevent or manage it?

# Traffic influx/outflux picture:



- traffic influx:  $\lambda(t)$  "offered load"
  - $\rightarrow$  rate: bps (or pps) at time t
- $\bullet$  traffic outflux:  $\gamma(t)$  "throughput"
  - $\rightarrow$  rate: bps (or pps) at time t
- $\bullet$  traffic in-flight: Q(t) "load"
  - $\rightarrow$  volume: total packets in transit at time t

Examples:

Highway system:

- traffic influx: no. of cars entering highway per second
- traffic outflux: no. of cars exiting highway per second
- traffic in-flight: no. of cars traveling on highway

 $\rightarrow$  at time instance t



California Dept. of Transportation (Caltrans)

Water faucet and sink:

- traffic influx: water influx per second
- traffic outflux: water outflux per second
- traffic in-flight: water level in sink

 $\longrightarrow$  "congestion?"



faucet.com

Thermostat ...

# 802.11b WLAN:

# • Throughput



 $\longrightarrow$  unimodal or bell-shaped  $\longrightarrow$  recall: less pronounced in real systems

# 802.11b WLAN:

# • Collision



 $\longrightarrow$  underlying cause of unimodal throughput

What we can regulate or control:

 $\longrightarrow$  traffic influx rate  $\lambda(t)$ 

Ex.:

- Faucet knob in water sink
- Temperature needle in thermostat
- Cars entering onto highway
- Traffic sent by UDP or TCP

What we cannot control: the rest

- $\longrightarrow$  except in the long run: bandwidth planning
- $\longrightarrow$  does scheduling (e.g., FIFO, round robin) help?
- $\longrightarrow$  Kleinrock's conservation law: "zero-sum pie"

How does in-flight traffic or load Q(t) vary?

Consider two time instances t and t + 1.

At time t + 1:

$$Q(t+1) = Q(t) + \lambda(t) - \gamma(t)$$

- Q(t): what was there to begin with
- $\lambda(t)$ : what newly arrived
- $\gamma(t)$ : what newly exited (delivered to applications)
- $\lambda(t) \gamma(t)$ : net influx
- Q(t) cannot be negative

$$\rightarrow Q(t+1) = \max\{0, Q(t) + \lambda(t) - \gamma(t)\}\$$

• missing item?

### Pseudo Real-Time Multimedia Streaming

- $\longrightarrow\,$ e.g., Real<br/>Player, Rhapsody, Internet radio
- $\longrightarrow$  "pseudo" because of prefetching trick
- $\longrightarrow$  application is given headstart: few seconds

Goal: fill buffer & prevent from becoming empty

Method:

• prefetch X seconds worth of data

 $\rightarrow$  e.g., audio and video

- initial delayed playback
  - $\rightarrow$  penalty incurred by pseudo real-time
- keep fetching audio/video data such that X seconds worth of future data resides in receiver's buffer
  - $\rightarrow$  allows hiding of spurious congestion
  - $\rightarrow$  user: continuous playback experience

### Pseudo real-time app architecture:

# $\longrightarrow$ traffic control component



- Q(t): current buffer level
- $Q^*$ : desired buffer level
- $\gamma$ : throughput, i.e., playback rate
  - $\rightarrow$  e.g., for video 24 frames-per-second (fps)

Goal: vary  $\lambda(t)$  such that  $Q(t) \approx Q^*$ 

- $\longrightarrow$  don't buffer too much (memory cost)
- $\longrightarrow$  don't buffer too little (bumpy road)

- if  $Q(t) = Q^*$  do nothing
- if  $Q(t) < Q^*$  increase  $\lambda(t)$
- if  $Q(t) > Q^*$  decrease  $\lambda(t)$

 $\longrightarrow$  "control law"

Protocol implementation:

- $\bullet$  control action undertaken at sender
  - $\rightarrow$  smart sender/dump receiver
  - $\rightarrow$  when might the opposite be better?
- receiver informs sender of  $Q^*$  and Q(t)
  - $\rightarrow$  feedback packet ("control signaling")

$$\rightarrow$$
 or just  $Q^* - Q(t)$ 

- $\rightarrow$  or just up/down (binary)
- $\rightarrow$  depends

Other applications:

Router congestion control

 $\longrightarrow$  active queue management (AQM)

- receiver is a router
- $\bullet \ Q^*$  is desired buffer occupancy/delay at router
- $\bullet$  router throttles sender(s) to maintain  $Q^*$ 
  - $\longrightarrow$  similar to old source quench message (ICMP)
  - $\longrightarrow$  considered too much messaging overhead

Slightly modified Internet standard:

- $\longrightarrow$  ECN (explicit congestion notification)
- two bits in IPv4 TOS field

 $\rightarrow$  ECT: ECN capable transport (bit 6)

 $\rightarrow$  CE: congestion experienced (bit 7)

- congested router marks ECT
- supported in most routers, default not turned on
- requires TCP sender/receiver changes

Also proposed to throttle denial-of-service attack traffic

- $\longrightarrow$  push-back
- $\longrightarrow$  good guy vs. bad guy problem

Key question in feedback congestion control: how much to increase or decrease  $\lambda(t)$ 

 $\longrightarrow$  "control problem"

 $\longrightarrow$  different specific manifestation (e.g., TCP)

Desired state of the system:

 $\longrightarrow$  i.e., target operating point

want: 
$$Q(t) = Q^*$$
 and  $\lambda(t) = \gamma$ 

Start from:

 $\longrightarrow$  empty buffer and no sending rate at start

i.e., 
$$Q(t) = 0$$
 and  $\lambda(t) = 0$ 

# Time evolution (or dynamics): track Q(t) and $\lambda(t)$



Congestion control methods: A, B, C and D

#### Method A:

- if  $Q(t) = Q^*$  then  $\lambda(t+1) \leftarrow \lambda(t)$
- if  $Q(t) < Q^*$  then  $\lambda(t+1) \leftarrow \lambda(t) + a$
- if  $Q(t) > Q^*$  then  $\lambda(t+1) \leftarrow \lambda(t) a$

where a > 0 is a fixed parameter

 $\longrightarrow\,$  linear increase and linear decrease

Question: does it work?

Example:

- $\bullet \ Q^* = 100$
- $\gamma = 10$
- $\bullet \ Q(0) = 0$
- $\lambda(0) = 0$

• 
$$a = 1$$



With a = 0.5:



With 
$$a = 3$$
:



With 
$$a = 6$$
:



Remarks:

- Method A isn't that great no matter what *a* value is used
  - $\rightarrow$  keeps oscillating
- Actually: would lead to unbounded oscillation if not for physical restriction  $\lambda(t) \ge 0$  and  $Q(t) \ge 0$ 
  - $\longrightarrow$  easily seen: start from non-zero buffer

$$\longrightarrow$$
 e.g.,  $Q(0) = 110$ 

With 
$$a = 1$$
,  $Q(0) = 110$ ,  $\lambda(0) = 11$ :



Method B:

- if  $Q(t) = Q^*$  then  $\lambda(t+1) \leftarrow \lambda(t)$
- if  $Q(t) < Q^*$  then  $\lambda(t+1) \leftarrow \lambda(t) + a$
- if  $Q(t) > Q^*$  then  $\lambda(t+1) \leftarrow \delta \cdot \lambda(t)$

where a > 0 and  $0 < \delta < 1$  are fixed parameters

Note: only decrease part differs from Method A.

 $\longrightarrow$  linear increase with slope a

- $\longrightarrow$  exponential decrease with backoff factor  $\delta$
- $\longrightarrow$  e.g., binary backoff in case  $\delta = 1/2$

Similar to Ethernet and WLAN backoff

 $\longrightarrow$  question: does it work?

With 
$$a = 1, \, \delta = 1/2$$
:



With 
$$a = 3, \, \delta = 1/2$$
:



With 
$$a = 1, \, \delta = 1/4$$
:



With 
$$a = 1, \, \delta = 3/4$$
:



- Method B isn't that great either
- One advantage over Method A: doesn't lead to unbounded oscillation
  - $\rightarrow$  note: doesn't hit "rock bottom"
  - $\rightarrow$  due to asymmetry in increase vs. decrease policy
  - $\rightarrow$ typical "sawtooth" pattern
- Method B is used by TCP
  - $\rightarrow$  linear increase/exponential decrease
  - $\rightarrow$  additive increase/multiplicative decrease (AIMD)

Question: can we do better?

 $\longrightarrow$  what "freebie" have we not utilized yet?

$$\lambda(t+1) \leftarrow \lambda(t) + \varepsilon(Q^* - Q(t))$$

where  $\varepsilon > 0$  is a fixed parameter

Tries to adjust magnitude of change as a function of the gap  $Q^* - Q(t)$ 

 $\longrightarrow$  incorporate distance from target  $Q^*$ 

 $\longrightarrow$  before: just the sign (above/below)

Thus:

- if  $Q^* Q(t) > 0$ , increase  $\lambda(t)$  proportional to gap
- if  $Q^* Q(t) < 0$ , decrease  $\lambda(t)$  proportional to gap

Trying to be more clever...

 $\longrightarrow$  bottom line: is it any good?

With 
$$\varepsilon = 0.1$$
:



With 
$$\varepsilon = 0.5$$
:



Answer: no

- $\longrightarrow$  looks good
- $\longrightarrow$  but looks can be deceiving

Time to try something strange

- $\longrightarrow$  any (crazy) ideas?
- $\longrightarrow$  good for course project (assuming it works)

#### Method D:

$$\lambda(t+1) \leftarrow \lambda(t) + \varepsilon(Q^* - Q(t)) - \beta(\lambda(t) - \gamma)$$

where  $\varepsilon > 0$  and  $\beta > 0$  are fixed parameters

 $\longrightarrow$  odd looking modification to  $\texttt{Method}\ \texttt{C}$ 

$$\longrightarrow$$
 additional term  $-\beta(\lambda(t) - \gamma)$ 

 $\longrightarrow$  what's going on?

Sanity check: at desired operating point  $Q(t) = Q^*$  and  $\lambda(t) = \gamma$ , nothing should move

- $\longrightarrow$  check with methods A, B and C
- $\longrightarrow$  fixed-point property
- $\longrightarrow$  what about Method D?

Now: does Method D get to the targe fixed point?









With 
$$\varepsilon = 0.1$$
 and  $\beta = 1.0$ :



- Method D has desired behavior
- Is superior to Methods A, B, and C
- No unbounded oscillation
- In fact, dampening and convergence to desired operating point
  - $\rightarrow$  converges to target operating point  $(Q^*,\gamma)$
  - $\rightarrow$  asymptotic stability

What is the role of the  $-\beta(\lambda(t) - \gamma)$  term in the control law:

$$\lambda(t+1) \leftarrow \lambda(t) + \varepsilon(Q^* - Q(t)) - \beta(\lambda(t) - \gamma)$$

Need to look beneath the hood . . .

 $\longrightarrow$  ???  $\longrightarrow$  intuition