

# CS 456

## Programming Languages Fall 2024

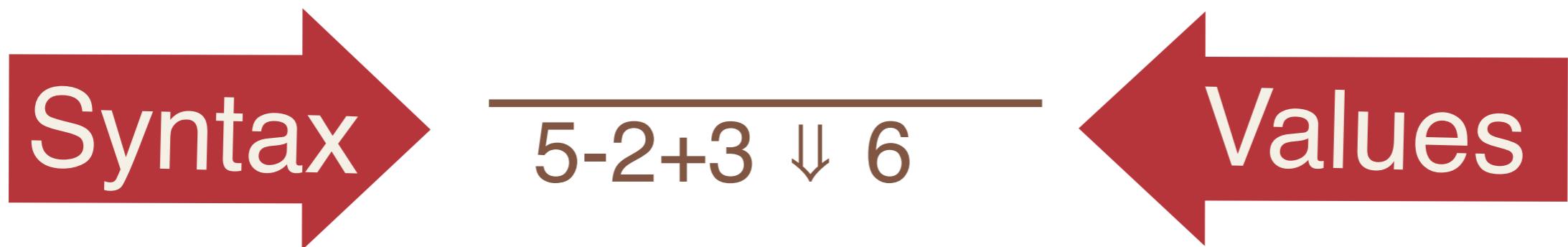
Week 11

Smallstep and Denotational Semantics

# Big-Step Semantics

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- Binary relation on pairs of syntax and values
- Read ' $\Downarrow$ ' as 'evaluates to'
- Specifies what values program can map to



- Good for whole program reasoning
  - Compiler Correctness; program equivalence;
- Bad for talking about intermediate states
  - Concurrent programs; errors

# Concurrent Imp

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Consider Imp with a fork operator

```
c ::= c1;c2
      | if b then ct else ce fi
      | skip
      | x := a
      | while b do c end
      | par c1 with c2 end
```

# Imp Program

4

```
C := skip
| x := A
| C ; C
| if B then C
    else C end
| while B do C end
| par C with C end
```

```
par
  X := 2 ;
  Y := 4
with
  Z := 5 ;
  W := 6
end
```

# Small-Step

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- Binary relation on pairs of expressions
- Read ' $e_1 \rightarrow e_2$ ' as 'reduces to'
- Specifies single transition of abstract machine
- Exposes intermediate states

# Small-Step

6

Consider toy language:

$$E ::= C \ N \mid E +_E E$$

## Big-Step Semantics

$$\frac{e_n \Downarrow n \quad e_m \Downarrow m}{e_n +_E e_m \Downarrow n + m}$$

$$\frac{}{C n \Downarrow n}$$

$$\frac{}{e_1 \rightarrow e_2 \rightarrow e_3 \rightarrow \dots \rightarrow e_n}$$

## Small-Step Semantics

$$\frac{e_n \rightarrow e_n'}{e_n +_E e_m \rightarrow e_n' +_E e_m}$$

$$\frac{e_m \rightarrow e_m'}{C n +_E e_m \rightarrow C n +_E e_m'}$$

$$\frac{}{C n +_E C m \rightarrow C (n + m)}$$

# Small-Step

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Consider toy language:

$$E ::= C \mathbb{N} \mid E +_E E$$

$$(C\ 1)+((C\ 2)+(C\ 3))+((C\ 4)+(C\ 6)))$$

$\rightarrow$

$$(C\ 1)+(C\ 5)+((C\ 4)+(C\ 6)))$$

$\rightarrow$

$$(C\ 1)+((C\ 5)+(C\ 10))$$

$\rightarrow$

$$(C\ 1)+(C\ 15)$$

$\rightarrow$

C 16

## Small Step Semantics

$$e_n \rightarrow e_n'$$

$$e_n +_E e_m \rightarrow e_n' +_E e_m'$$

$$e_m \rightarrow e_m'$$

$$C n +_E e_m \rightarrow C n +_E e_m'$$

$$C n +_E C m \rightarrow C (n + m)$$



# Small-Step

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Consider toy language:

$$E ::= C \text{ } N \mid E +_E E$$

Two “flavors” of rule:

(Boring) Congruence rules

Interesting rules

## Small Step Semantics

$$e_n \rightarrow e_n'$$

$$e_n +_E e_m \rightarrow e_n' +_E e_m$$

$$e_m \rightarrow e_m'$$

$$C n +_E e_m \rightarrow C n +_E e_m'$$

$$C n +_E C m \rightarrow C (n + m)$$



# Step Size

9

## Big Step Semantics

$$\frac{e_n \Downarrow n \quad e_m \Downarrow m}{e_n +_E e_m \Downarrow n + m}$$
$$\frac{}{C n \Downarrow n}$$

Big-Step reduction  
relation is from **syntax**,  
to **values**.

## Small Step Semantics

$$\frac{e_n \rightarrow e_n'}{e_n +_E e_m \rightarrow e_n' +_E e_m}$$
$$\frac{e_m \rightarrow e_m'}{C n +_E e_m \rightarrow C n +_E e_m'}$$
$$\frac{}{C n +_E C m \rightarrow C (n + m)}$$

Small-Step reduction  
relation is from **syntax**,  
to **syntax**.

# Small-Step Termination

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- How to tell when we're 'done' evaluating?
- Define a class of syntactic values:

---

**value** C<sub>n</sub>

Now we can talk about making progress

**Theorem [STRONG PROGRESS]:**

For any term t, either t is a value or there exists a term t' such that  $t \rightarrow t'$ .

# Small-Step Termination

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- How to tell when we're 'done' evaluating?
- Another style of defining values:

$v := Cn$

$e_m \rightarrow e_m'$

---

$v +_E e_m \rightarrow v +_E e_m'$

# Example

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How many steps does this program need to reach a value?

$(C \ 10) + ((C \ 12) + (C \ 23))$

- ★ 0
- ★ 1
- ★ 2
- ★ 3

## Small Step Semantics

$$\frac{e_n \rightarrow e_n'}{e_n +_E e_m \rightarrow e_n' +_E e_m}$$
$$\frac{e_m \rightarrow e_m'}{C n +_E e_m \rightarrow C n +_E e_m'}$$
$$\frac{}{C n +_E C m \rightarrow C (n + m)}$$

# Concept Check

13

How many steps does this program need to reach a value?

C 10

- ★ 0
- ★ 1
- ★ 2
- ★ 3

## Small Step Semantics

$$\frac{e_n \rightarrow e_n'}{e_n +_E e_m \rightarrow e_n' +_E e_m}$$
$$\frac{e_m \rightarrow e_m'}{C n +_E e_m \rightarrow C n +_E e_m'}$$
$$C n +_E C m \rightarrow C (n + m)$$

# Normal Form

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A term  $e$  that isn't reducible is in normal form.

$$\neg \exists e'. e \rightarrow e'$$

How is this different from a value?

Syntactic versus semantic.

Do not need to coincide!

# Example

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How might we change the reduction rules so that there are normal forms that aren't values?

---

**value**  $C_n$

## Small Step Semantics

$$\frac{e_n \rightarrow e_n'}{e_n +_E e_m \rightarrow e_n' +_E e_m}$$

$$\frac{e_m \rightarrow e_m'}{C n +_E e_m \rightarrow C n +_E e_m'}$$

$$C n +_E C m \rightarrow C (n + m)$$

# MultiStep Relation

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We generically lift single-step to full execution as the *transitive, reflexive closure*:

$$\frac{}{e \rightarrow^* e} \text{REFL} \quad \frac{e_1 \rightarrow^* e_2 \quad e_2 \rightarrow e_3}{e_1 \rightarrow^* e_3} \text{TRANS}$$

So:  $(C\ 1) + ((C\ 2) + (C\ 3)) + ((C\ 4) + (C\ 6)) \rightarrow^* 16$ :

$$\begin{aligned} 1 + ((2+3)+(4+6)) &\rightarrow 1 + (5+(4+6)) \rightarrow 1 + (5+10) \\ &\rightarrow 6+10 \rightarrow 16 \end{aligned}$$

# Evaluation Order

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Small step semantics give control over the order in which terms are reduced

$$\frac{e_m \rightarrow e_m'}{e_n + e_m \rightarrow e_n + e_m'}$$

$$\frac{e_n \rightarrow e_n'}{e_n +_E e_m \rightarrow e_n' +_E e_m}$$

$$\frac{e_m \rightarrow e_m'}{C n +_E e_m \rightarrow C n +_E e_m'}$$

$$\frac{}{C n +_E C m \rightarrow C (n + m)}$$

Evaluation orders can be **deterministic** or **nondeterministic**

# Small-Step Semantics for Imp

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Inference Rules for  $\rightarrow$

$$\sigma, a_1 \downarrow v$$

CSASSN

$$\sigma, x := a_1 \rightarrow [x \mapsto v] \sigma, \text{skip}$$
$$\sigma_1, C_1 \rightarrow \sigma_2, C_3$$

CSSEQSTEP

$$\sigma_1, C_1; C_2 \rightarrow \sigma_2, C_3; C_2$$
$$\sigma, \text{skip}; C_2 \rightarrow \sigma, C_2$$

CSSEQSKIP

# Small-Step Semantics for Imp

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Inference Rules for  $\rightarrow$

Reduction Rules

CSIFT

$$\sigma, \text{if true then } c_t \text{ else } c_f \text{ end} \rightarrow \sigma, c_t$$

Congruence  
Rules

CSIFF

$$\sigma, \text{if false then } c_t \text{ else } c_f \text{ end} \rightarrow \sigma, c_f$$
$$\sigma, b_1 \rightarrow_B b_2$$

CSIFSTEP

$$\frac{\sigma, b_1 \rightarrow_B b_2}{\sigma, \text{if } b_1 \text{ then } c_t \text{ else } c_f \text{ end} \rightarrow \sigma, \text{ if } b_2 \text{ then } c_t \text{ else } c_f \text{ end}}$$

# Small-Step Semantics for Imp

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Inference Rules for  $\rightarrow$

**CSWHILE**

$\sigma, \text{while } b \text{ do } c \rightarrow$

$\sigma, \text{if } b \text{ then } c; \text{while } b \text{ do } c \text{ end}$   
 $\text{else skip end}$

# Small-Step Semantics for Imp

21

Inference Rules for  $\rightarrow$

$$\sigma_1, c_1 \rightarrow \sigma_2, c_3$$

CSPARL

$$\sigma_1, \text{par } c_1 \text{ with } c_2 \rightarrow \sigma_2, \text{par } c_3 \text{ with } c_2$$
$$\sigma_1, c_2 \rightarrow \sigma_2, c_3$$

CSPARR

$$\sigma_1, \text{par } c_1 \text{ with } c_2 \rightarrow \sigma_2, \text{par } c_1 \text{ with } c_3$$

CSPARFINISH

$$\sigma, \text{par skip with skip} \rightarrow \sigma, \text{skip}$$

# Imp Program

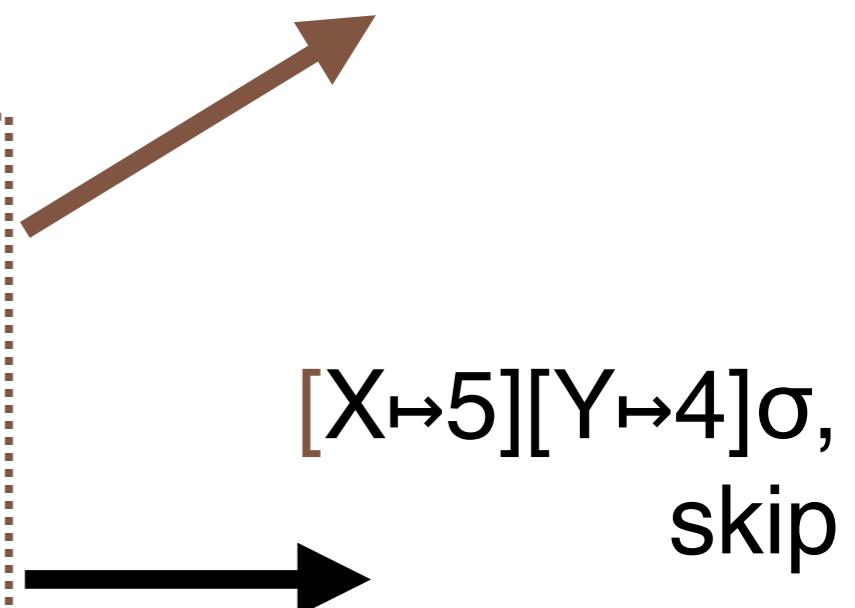
22

```
C := skip
  | x := A
  | C ; C
  | if B then C
    else C end
  | while B do C end
  | par C with C end
```

$\sigma,$

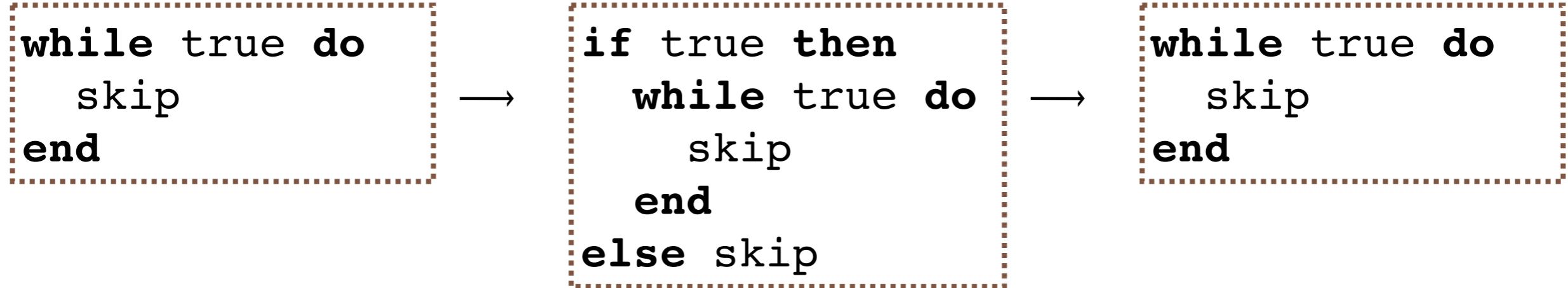
```
par
  X := 2 ;
  Y := 4
with
  X := 5 ;
  Y := 6
end
```

$[X \mapsto 2][Y \mapsto 4]\sigma, \text{skip}$



# Imp Program

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# Summary

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To recap smallstep operational semantics:

These rules form an abstract reference ‘implementation’ for a language, entirely at the level of the language itself

You can validate a particular implementation of a language against this specification (or prove that it meets it)

The rules are declarative: they don’t necessarily prescribe a specific evaluation order, or even how to implement each step

This approach allows us to reason about properties of the language, e.g. type safety, irrespective of an implementation

# Semantics Recap

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- We've considered several flavors of Operational Semantics:
  - Abstract machine specifies *how* an expression is executed:
- $\sigma, c \Downarrow \sigma'$  reads as ‘when run in initial state  $\sigma$ ,  $c$  produces (i.e. evaluates to) final state  $\sigma'$ ’
- $e_1 \rightarrow e_2$  reads as ‘ $e_1$  reduces to  $e_2$  in a single step’
- $e_1 \rightarrow^* e_2$  reads as ‘ $e_1$  reduces to  $e_2$  in zero or more steps’

# Denotational Semantics

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**Key Idea:** ‘Denotation’ function translates source program to target mathematical object

- Define a **semantics**—  
language T
- Denote every  
program in this domain:  
am means
- Denotes reasoning
- Abstracts away from  
meaning!
- Natural numbers, program equivalence
- Finding domain can be tricky— Domain Theory

# Denotational Semantics

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**Key Idea:** ‘Denotation’ function translates source program to target mathematical object

$$[\cdot]_A : A \rightarrow \mathbb{N}$$

Domain is  $\mathbb{N}$

$$[n]_A \equiv n$$

$$[n+m]_A \equiv [n]_A +_{\mathbb{N}} [m]_A$$

$$[n-m]_A \equiv [n]_A -_{\mathbb{N}} [m]_A$$

$$[n*m]_A \equiv [n]_A *_{\mathbb{N}} [m]_A$$

# Denotational Semantics

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**Key Idea:** ‘Denotation’ function translates source program to target mathematical object

$$[\cdot]_A : A \rightarrow \mathbb{N}$$

$$[n]_A \equiv [n]_A +_{\mathbb{N}} [m]_A$$

$$[x]_A \equiv ??$$

$$[n+m]_A \equiv [n]_A +_{\mathbb{N}} [m]_A$$

$$[n-m]_A \equiv [n]_A -_{\mathbb{N}} [m]_A$$

# Denotational Semantics

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**Key Idea:** ‘Denotation’ function from program to meaning

$$[\cdot]_A : A \rightarrow \mathcal{P}((\text{Id} \rightarrow \mathbb{N}) \times \mathbb{N})$$

$$[n]_A \equiv \{(\sigma, n)\}$$

$$[x]_A \equiv \{(\sigma, \sigma(x))\}$$

$$[e_n + e_m]_A \equiv \{(\sigma, v_n +_{\mathbb{N}} v_m) \mid (\sigma, v_n) \in [e_n]_A \wedge (\sigma, v_m) \in [e_m]_A\}$$

$$[e_n - e_m]_A \equiv \{(\sigma, v_n -_{\mathbb{N}} v_m) \mid (\sigma, v_n) \in [e_n]_A \wedge (\sigma, v_m) \in [e_m]_A\}$$

# Denotational Semantics

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$$[\![ \cdot ]\!]_A : A \rightarrow \mathcal{P}((\text{Id} \rightarrow \mathbb{N}) \times \mathbb{N})$$

$$[n]_A \equiv \{(\sigma, n)\}$$

$$[x]_A \equiv \{(\sigma, \sigma(x))\}$$

$$[e_n + e_m]_A \equiv \{(\sigma, v_n +_{\mathbb{N}} v_m) \mid (\sigma, v_n) \in [e_n]_A \wedge (\sigma, v_m) \in [e_m]_A\}$$

$$[e_n - e_m]_A \equiv \{(\sigma, v_n -_{\mathbb{N}} v_m) \mid (\sigma, v_n) \in [e_n]_A \wedge (\sigma, v_m) \in [e_m]_A\}$$

- $[4]_A \ni (\sigma, 4)$
- $[x]_A \ni ([x \mapsto 4]\sigma, 4)$
- $[x]_A \ni ([x \mapsto 6]\sigma, 6)$
- $[4+x]_A \ni ([x \mapsto 6]\sigma, 10)$
- $[x+4]_A \ni ([x \mapsto 6]\sigma, 10)$

# Concept Check

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Which of the following claims are true?

$$[\![ \cdot ]\!]_A : A \rightarrow \mathcal{P}((\text{Id} \rightarrow \mathbb{N})$$

$$\times \mathbb{N})$$

$$[\![ n ]\!]_A \equiv \{(\sigma, n)\}$$

$$[\![ x ]\!]_A \equiv \{(\sigma, \sigma(x))\}$$

$$[\![ e_n + e_m ]\!]_A \equiv \{(\sigma, v_n +_{\mathbb{N}} v_m) \mid (\sigma, v_n) \in [\![ e_n ]\!]_A \wedge (\sigma, v_m) \in [\![ e_m ]\!]_A\}$$

$$[\![ e_n - e_m ]\!]_A \equiv \{(\sigma, v_n -_{\mathbb{N}} v_m) \mid (\sigma, v_n) \in [\![ e_n ]\!]_A \wedge (\sigma, v_m) \in [\![ e_m ]\!]_A\}$$

1.  $[\![ 4+15 ]\!]_A \ni (\sigma, 19)$

2.  $[\![ 4+x+y ]\!]_A \ni ([y \mapsto 24][x \mapsto 6]\sigma, 30)$

3.  $[\![ 5+x+4-y ]\!]_A \ni (\sigma, 9+\sigma(x)-\sigma(y))$

# Nondeterminism

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This semantic domain can also model nondeterministic semantics:

$$[\cdot]_A : A \rightarrow \mathcal{P}((\text{Id} \rightarrow \mathbb{N}) \times \mathbb{N})$$

$$[\text{rand}]_A \equiv \{(\sigma, m)\}$$

$$[n]_A \equiv \{(\sigma, n)\}$$

$$[x]_A \equiv \{(\sigma, \sigma(x))\}$$

$$[e_n + e_m]_A \equiv \{(\sigma, v_n +_{\mathbb{N}} v_m) \mid (\sigma, v_n) \in [e_n]_A \wedge (\sigma, v_m) \in [e_m]_A\}$$

$$[e_n - e_m]_A \equiv \{(\sigma, v_n -_{\mathbb{N}} v_m) \mid (\sigma, v_n) \in [e_n]_A \wedge (\sigma, v_m) \in [e_m]_A\}$$

# Partial Programs

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This semantic domain can also define the meaning of ill-formed programs:

$$\llbracket \cdot \rrbracket_A : A \rightarrow \mathcal{P}((\text{Id} \rightarrow \mathbb{N}) \times \mathbb{N})$$

$$\begin{aligned}\llbracket e_n / e_m \rrbracket_A \equiv & \{ (\sigma, k) \mid (\sigma, v_n) \in \llbracket e_n \rrbracket_A \\ & \wedge (\sigma, v_m) \in \llbracket e_m \rrbracket_A \\ & \wedge v_m * k = v_n \}\end{aligned}$$

$$\llbracket n \rrbracket_A \equiv \{ (\sigma, n) \}$$

$$\llbracket x \rrbracket_A \equiv \{ (\sigma, \sigma(x)) \}$$

$$\begin{aligned}\llbracket e_n + e_m \rrbracket_A \equiv & \{ (\sigma, v_n +_{\mathbb{N}} v_m) \mid (\sigma, v_n) \in \llbracket e_n \rrbracket_A \\ & \wedge (\sigma, v_m) \in \llbracket e_m \rrbracket_A \}\end{aligned}$$

# Reasoning

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- ★ Denotational semantics have several nice properties which make them nice to reason with:
  - They are **compositional**: the denotation of a term is a function of the denotations of its subterms:  
If  $\llbracket e_n \rrbracket_A = \llbracket e_o \rrbracket_A$ , then  $\llbracket e_n + e_m \rrbracket_A = \llbracket e_o + e_m \rrbracket_A$
  - They inherit properties of the semantic domain:  
$$\llbracket e_n + e_m \rrbracket_A = \llbracket e_m + e_n \rrbracket_A$$

# Reasoning

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- ★ Two programs are **semantically equivalent** if they have the same denotation
- ★ Can show that if  $\llbracket e_n \rrbracket_A$  is semantically equivalent to  $\llbracket e_o \rrbracket_A$ , then  $\llbracket e_n + e_m \rrbracket_A$  and  $\llbracket e_o + e_m \rrbracket_A$  are also semantically equivalent:

$$\begin{aligned}\llbracket e_n + e_m \rrbracket_A &= \{ (\sigma, v_1 +_{\mathbb{N}} v_2) \mid (\sigma, v_1) \in \llbracket e_n \rrbracket_A \wedge (\sigma, v_2) \in \llbracket e_m \rrbracket_A \} \\ &= \{ (\sigma, v_1 +_{\mathbb{N}} v_2) \mid (\sigma, v_1) \in \llbracket e_o \rrbracket_A \wedge (\sigma, v_2) \in \llbracket e_m \rrbracket_A \} \\ &= \llbracket e_o + e_m \rrbracket_A\end{aligned}$$

# Recap

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- **Key Idea:** define semantics via translation to a well-understood **semantic domain**:
  - Using sets, we can model partial and total functions on state
  - Can also represent nondeterministic semantics
- Can relate different kinds of semantics
- Denotational semantics are designed to be **compositional**
- Denotational semantics are useful for reasoning about program equivalence

# Denotational Semantics

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**Key Idea:** ‘Denotation’ function from program to meaning

$\llbracket \cdot \rrbracket_C :$

$\llbracket \text{skip} \rrbracket_C \equiv$

$\llbracket x := a \rrbracket_C \equiv$

$\llbracket c_1 ; c_2 \rrbracket_C \equiv$

$\llbracket \text{if } b \text{ then } c_t \text{ else } c_e \rrbracket_C \equiv$

# Denotational Semantics

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**Key Idea:** ‘Denotation’ function from program to meaning

$$[\![ \cdot ]\!]_C : C \rightarrow \mathcal{P}((\text{Id} \rightarrow \mathbb{N}) \times (\text{Id} \rightarrow \mathbb{N}))$$

$$[\![ \text{skip} ]\!]_C \equiv \{(\sigma, \sigma)\}$$

$$[\![ x := a ]\!]_C \equiv$$

$$[\![ c_1 ; c_2 ]\!]_C \equiv$$

$$[\![ \text{if } b \text{ then } c_t \text{ else } c_e ]\!]_C \equiv$$

# Denotational Semantics

39

**Key Idea:** ‘Denotation’ function from program to meaning

$$[\![ \cdot ]\!]_C : C \rightarrow \mathcal{P}((\text{Id} \rightarrow \mathbb{N}) \times (\text{Id} \rightarrow \mathbb{N}))$$

$$[\![ \text{skip} ]\!]_C \equiv \{(\sigma, \sigma)\}$$

$$[\![ x := a ]\!]_C \equiv \{(\sigma, [x \mapsto v]\sigma) \mid (\sigma, v) \in [\![ a ]\!]_A\}$$

$$[\![ c_1 ; c_2 ]\!]_C \equiv$$

$$[\![ \text{if } b \text{ then } c_t \text{ else } c_e ]\!]_C \equiv$$

# Denotational Semantics

40

**Key Idea:** ‘Denotation’ function from program to meaning

$$[\![ \cdot ]\!]_C : C \rightarrow \mathcal{P}((\text{Id} \rightarrow \mathbb{N}) \times (\text{Id} \rightarrow \mathbb{N}))$$

$$[\![ \text{skip} ]\!]_C \equiv \{(\sigma, \sigma)\}$$

$$[\![ x := a ]\!]_C \equiv \{(\sigma, [x \mapsto v]\sigma) \mid (\sigma, v) \in [\![ a ]\!]_A\}$$

$$\begin{aligned} [\![ c_1 ; c_2 ]\!]_C \equiv & \{(\sigma_1, \sigma_3) \mid \exists \sigma_2. (\sigma_1, \sigma_2) \in [\![ c_1 ]\!]_C \\ & \quad \wedge (\sigma_2, \sigma_3) \in [\![ c_2 ]\!]_C\} \end{aligned}$$

$$[\![ \text{if } b \text{ then } c_t \text{ else } c_e ]\!]_C \equiv$$

# Denotational Semantics

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**Key Idea:** ‘Denotation’ function from program to meaning

$$[\![ \cdot ]\!]_C : C \rightarrow \mathcal{P}((\text{Id} \rightarrow \mathbb{N}) \times (\text{Id} \rightarrow \mathbb{N}))$$

$$[\![ \text{skip} ]\!]_C \equiv \{(\sigma, \sigma)\}$$

$$[\![ x := a ]\!]_C \equiv \{(\sigma, [x \mapsto v]\sigma) \mid (\sigma, v) \in [\![ a ]\!]_A\}$$

$$\begin{aligned} [\![ c_1 ; c_2 ]\!]_C \equiv \{(\sigma_1, \sigma_3) \mid \exists \sigma_2. & \quad (\sigma_1, \sigma_2) \in [\![ c_1 ]\!]_C \\ & \wedge (\sigma_2, \sigma_3) \in [\![ c_2 ]\!]_C \} \end{aligned}$$

$$[\![ \text{if } b \text{ then } c_t \text{ else } c_e ]\!]_C \equiv$$

$$\begin{aligned} & \{(\sigma_1, \sigma_2) \mid (\sigma_1, \text{true}) \in [\![ e_B ]\!]_B \wedge (\sigma_1, \sigma_2) \in [\![ c_t ]\!]_C\} \\ \cup & \{(\sigma_1, \sigma_2) \mid (\sigma_1, \text{false}) \in [\![ e_B ]\!]_B \wedge (\sigma_1, \sigma_2) \in [\![ c_e ]\!]_C\} \end{aligned}$$

# Denotational Semantics

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**Key Idea:** ‘Denotation’ function from program to meaning

$$[\![ \cdot ]\!]_c : C \rightarrow \mathcal{P}((\text{Id} \rightarrow \mathbb{N}) \times (\text{Id} \rightarrow \mathbb{N}))$$

$$[\![ \text{while } b \text{ do } c \text{ end} ]\!]_c =$$

# Denotational Semantics

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**Key Idea:** ‘Denotation’ function from program to meaning

$$[\![ \cdot ]\!]_C : C \rightarrow \mathcal{P}((\text{Id} \rightarrow \mathbb{N}) \times (\text{Id} \rightarrow \mathbb{N}))$$

$$[\![ \text{while } b \text{ do } c \text{ end} ]\!]_C \equiv$$

$$\begin{aligned} & \{(\sigma, \sigma) \mid (\sigma, \text{false}) \in [\![ e_B ]\!]_B\} \\ & \cup \{(\sigma_1, \sigma_3) \mid (\sigma_1, \text{true}) \in [\![ e_B ]\!]_B \wedge \exists \sigma_2. (\sigma_1, \sigma_2) \in [\![ c ]\!]_C \\ & \quad \wedge (\sigma_2, \sigma_3) \in [\![ \text{while } b \text{ do } c \text{ end} ]\!]_C\} \end{aligned}$$



# Denotational Semantics

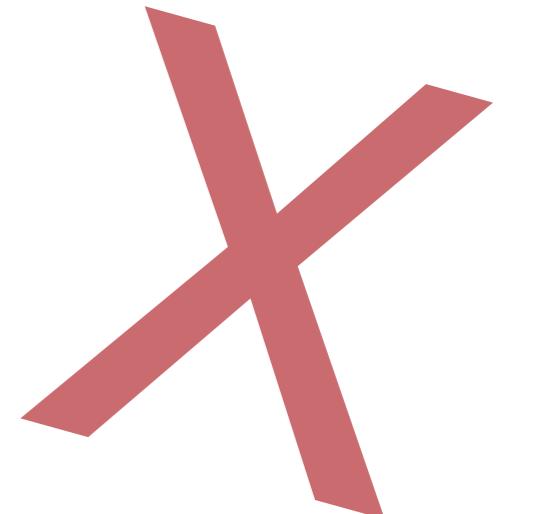
44

**Key Idea:** ‘Denotation’ function from program to meaning

$$[\![\cdot]\!]_c : C \rightarrow \mathcal{P}((\text{Id} \rightarrow \mathbb{N}) \times (\text{Id} \rightarrow \mathbb{N}))$$

$$[\![\text{while } b \text{ do } c \text{ end}]\!]_c =$$

$$\begin{aligned} & \{(\sigma, \sigma) \mid (\sigma, \text{false}) \in [\![e_B]\!]_B\} \\ & \cup \{(\sigma_1, \sigma_3) \mid (\sigma_1, \text{true}) \in [\![e_B]\!]_B \wedge \exists \sigma_2. (\sigma_1, \sigma_2) \in [\![c]\!]_c \\ & \quad \wedge (\sigma_2, \sigma_3) \in [\![\text{while } b \text{ do } c \text{ end}]\!]_c\} \end{aligned}$$



- ★ The meaning of while is defined in terms of the meaning of while
- ★ This is not a *definition*, it is a *recursive equation*
- ★ Goal: find a **set** that satisfies this equation

# Recursive Equations

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Is there a function  $f$  that satisfies these constraints:

$$f(x) = \begin{cases} 0 & \text{if } x = 0 \\ f(x - 1) + 2x - 1 & \text{otherwise} \end{cases}$$

Is there a function  $g$  that satisfies these constraints:

$$g(x) = g(x) + 1$$

# Recursive Equations

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Can build up an approximation of the solution iteratively

$$f_0 = \emptyset$$

$$f_1 = \{(0,0)\} \cup \{(x, m + 2x - 1) \mid (x-1, m) \in f_0\} = \{(0,0)\}$$

$$f_2 = \{(0,0)\} \cup \{(x, m + 2x - 1) \mid (x-1, m) \in f_1\} = \{(0,0), (1,1)\}$$

$$f_3 = \{(0,0)\} \cup \{(x, m + 2x - 1) \mid (x-1, m) \in f_2\} = \{(0,0), (1,1), (2,4)\}$$

# Fixpoints

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- ★ A **fixpoint** is solution  $\text{Fix}_F$  to a recursive equation of the form:

$$\text{Fix}_F = F(\text{Fix}_F)$$

where  $F : \mathcal{P}A \rightarrow \mathcal{P}A$

- ★ A fixpoint is also a solution to this sequence:

$$\text{Fix}_F = F^0(\emptyset) \cup F^1(\emptyset) \cup F^2(\emptyset) \cup F^3(\emptyset) \cup \dots$$

# Denotational Semantics

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Key idea: represent all terminating iterations of the loop as a fixpoint using the denotation of its body:

$$[\![\cdot]\!]_c : C \rightarrow \mathcal{P}((\text{Id} \rightarrow \mathbb{N}) \times (\text{Id} \rightarrow \mathbb{N}))$$

$$[\![\text{while } b \text{ do } c \text{ end}]\!]_c \equiv \text{Fix}_F$$

where

$$\begin{aligned} F(\text{rec}) = & \{ (\sigma, \sigma) \mid (\sigma, \text{false}) \in [\![b]\!]_B \} \\ & \cup \{ (\sigma_1, \sigma_3) \mid \exists \sigma_1. (\sigma_1, \text{true}) \in [\![b]\!]_B \\ & \quad \wedge (\sigma_1, \sigma_2) \in [\![c]\!]_c \\ & \quad \wedge (\sigma_2, \sigma_3) \in \text{rec} \} \end{aligned}$$

How do we know that a fixpoint exists for any function or loop?