CS 456

ing Lang $\ln a \ln a$ Programming Languages Fall 2024

Week 12

Axiomatic Semantics and Hoare Logic

Homework

Install Dafny: see www.dafny.org

Semantics

- **Operational Semantics**
	- ★ Simple abstract machine shows *how* to evaluate expression
- Denotational Semantics
	- ★ Map language construct to mathematical domains (e.g., sets) to describe what expressions mean

Can Prove:

- Determinism of Evaluation
- Soundness of Program Transformations
- Program Equivalence

Axiomatic Semantics

Axiomatic Semantics

- Meaning given by proof rules
- Useful for reasoning about properties of *specific* programs
- Step 1: Define a language of claims
- Step 2: Define a set of rules (axioms) to build proofs of claims
- Step 3: Verify specific programs

Assertions

- **5**
- Not unusual to see pre- and post-conditions in code comments:

```
/*Precondition: 0 <= i <= A.length 
   Postcondition: returns A[i]*/
public int get(int i) {
 return A[i]
}
```
- Step 1A: Define a language of assertions to capture these sorts of claims

Assertions

- **6**
- Step 1A: Define a language of *assertions* to capture these claims about states
- Examples:
	- The value of the variable X is greater than 4
	- The variable Y holds an even number
	- The value of X is half of the value of Z
- Formalize claims in some logic with variables
	- Proof Assistant (Coq, Isabelle, Agda, …)
	- smt-lib (many automated verifiers)
	- First-order logic: ∀, ∃, ⋀, →, X = Y

Hoare Triple

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E Step IB: Define a judgement for claims about programs involving assertions ✍Partial Correctness Triple: {P} c {Q} then that final state satisfies Q If we start in a If we start in op And c terminates in a state,

Hoare Triple

An Axiomatic Basis for **Computer Programming**

C. A. R. HOARE The Queen's University of Belfast,* Northern Ireland

In this paper an attempt is made to explore the logical foundations of computer programming by use of techniques which were first applied in the study of geometry and have later been extended to other branches of mathematics. This involves the elucidation of sets of axioms and rules of inference which can be used in proofs of the properties of computer programs. Examples are given of such axioms and rules, and a formal proof of a simple theorem is displayed. Finally, it is argued that important advantages, both theoretical and practical, may follow from a pursuance of these topics.

KEY WORDS AND PHRASES: axiomatic method, theory of programming' proofs of programs, formal language definition, programming language design, machine-independent programming, program documentation CR CATEGORY: 4.0, 4.21, 4.22, 5.20, 5.21, 5.23, 5.24

of axioms it is possible to deduce such simple theorems as:

$$
x = x + y \times 0
$$

$$
y \leq r \Rightarrow r + y \times q = (r - y) + y \times (1 + q)
$$

The proof of the second of these is:

A5
$$
(r - y) + y \times (1 + q)
$$

\t\t\t\t $= (r - y) + (y \times 1 + y \times q)$
\nA9
\t\t\t\t $= (r - y) + (y + y \times q)$
\nA3
\t\t\t\t $= ((r - y) + y) + y \times q$
\nA6
\t\t\t\t $= r + y \times q$ provided $y \le r$

The axioms A1 to A9 are, of course, true of the traditional infinite set of integers in mathematics. However, they are also true of the finite sets of "integers" which are manipulated by computers provided that they are confined to *nonnegative* numbers. Their truth is independent of the size of the set; furthermore, it is largely independent of the choice of technique applied in the event of "overflow"; for example:

(1) Strict interpretation: the result of an overflowing operation does not exist; when overflow occurs, the offending program never completes its operation. Note that in this case, the equalities of A1 to A9 are strict, in the sense that both sides exist or fail to exist together.

 (2) Firm boundary: the result of an overflowing operation is taken as the maximum value represented.

C. A. R. Hoare. 1969. An axiomatic basis for computer programming. *Commun. ACM* 12, 10 (Oct. 1969), 576–580.

Hoare Triple

- **9**
- Step 1B: Define a judgement for claims about programs involving assertions
- Partial Correctness Triple:
- Total Correctness Triple: $[P]$ c $[Q]$
- A triple that makes a true claim is said to be *valid*

 $\{P\} \subset \{Q\}$

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What *should* these mean: $\{True\} c \{X = 5\}$ $\forall m. \{X = m\} \subset \{X = m + 5\}$ $[X \leq Y]$ c $[Y \leq X]$

Concept Check

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Which of these should be valid?

 ${X = 2} X := X + 1 {X = 3}$ ${X = 2} X := 5; Y := 3 {X = 5}$ {False} skip {True} $[Y = 5]$ X := Y + 3 $[X = 5]$ {True} while true do SKIP end {False} [True] while true do SKIP end [False] [True] while true do SKIP end [True]

Axiomatic Semantics

- Step 1: Define a language of claims
- Step 2: Define a set of rules (axioms) to build proofs of claims
- Step 3: Verify specific programs

Imp Assertions

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One assertion language for Imp commands is:

$$
X \in Id
$$

\n $A :: = N | A + A | A - A | A * A | X$
\n $P, Q :: = T | I \cup A * A | A < A | A = A$
\n $| P \wedge Q | P \vee Q | P$

Examples Assertions:

The value of the variable X is greater than 4 The variable Y holds an even number The value of X is half of the value of Z

Satisfiability

- **14**
- \star We define a semantics for this language to identify when a state σ *satisfies* an assertion P:

σ ⊧T

 σ , $a_1 \Downarrow v_1$ σ , $a_2 \Downarrow v_2$ $v_1 <_{\mathbb{N}} v_2$ $\sigma \models a_1 < a_2$

$$
\begin{array}{c}\n\sigma, a_1 \Downarrow v_1 \quad \sigma, a_2 \Downarrow v_2 \quad v_1 =_{\mathbb{N}} v_2 \\
\hline\n\sigma \models a_1 = a_2\n\end{array}
$$

Satisfability

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We define a semantics for this language to identify when a state σ $\sigma \models \mathsf{P}$

$$
\begin{array}{c}\sigma \models P \qquad \sigma \models Q \\ \hline \sigma \models P \land Q \end{array}
$$

σ ⊧ P $\sigma \models P \lor Q$

 $\underline{\sigma}$ ⊧ Q $\sigma \models P \lor Q$

Proving Validity

- **17**
- That gives us the first part of axiomatic semantics
	- Step 1: Define a language of claims
- How to prove that $\{P\} \subset \{Q\}$ is valid?
	- Could reason directly about the semantics of c
	- Step 2: Define a set of rules (axioms) to build proofs of claims without reasoning directly about states and executions

Proof Rules

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How to prove that $\{P\}$ c $\{Q\}$ is valid?

- Define a set of rules (axioms) to build proofs of claims without reasoning directly about states and executions

Hoare Skip

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Use our intuition about what we want to be able to prove to guide definition of rules

 ${P} c {Q}$ = $\forall \sigma$. $\sigma \models P \rightarrow \forall \sigma'$. σ , $c \Downarrow \sigma' \rightarrow \sigma' \models Q$

Hoare Skip?

$\{?\}$ skip $\{Q\}$ = $\forall \sigma$. $\sigma \models ? \rightarrow \forall \sigma'$. σ , skip $\forall \sigma' \rightarrow \sigma' \models Q$

⊢{?} skip {Q}

Hoare Skip!

 ${Q}$ skip ${Q}$ = $\forall \sigma$. $\sigma \models Q \rightarrow \forall \sigma'$. σ, skip $\forall \sigma' \rightarrow \sigma' \models Q$

 $\overline{\vdash \{Q\} \textsf{skip} \{Q\}}$ HLSKIP

Hoare Assign?

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 $\{ \$?? } X : = a $\{Q\}$ = $\forall \sigma$. σ ⊧ ?? → $\forall \sigma'$. σ, X:=a $\forall \sigma' \rightarrow \sigma' \models Q$

⊢{ ?? } X: = a {Q}

Hoare Assign!

 ${K := a | Q} X := a {Q} =$ $\forall \sigma$. σ ⊧ [X = a]Q \rightarrow $\forall \sigma'$. $\sigma, X = a \lor \sigma' \rightarrow \sigma' \models Q$

\vdash {[X=a]Q} X :=a {Q} **HLASSIGN**

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★ Why not this "forward" rule?

\vdash {P} X:=a {[X=a]P}

Hoare Assign!

 ${K := a | Q} X: a {Q} \equiv$ $\forall \sigma$. σ ⊧ [X ≔a]Q → $\forall \sigma'$. σ, X: a $\Downarrow \sigma' \rightarrow \sigma' \models Q$

\vdash {[X=a]Q} X :=a {Q} HLASSIGN

Hoare Seq?

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 $\{ ? \}c_1; c_2$ {Q} = $\forall \sigma$. $\sigma \models ? \rightarrow$ $\forall \sigma'$. σ, c₁; c₂ $\forall \sigma' \rightarrow \sigma' \models Q$

⊢{ ? } c1; c2 {Q}

Hoare Seq?

 $\{ ? \}c_1; c_2$ {Q} = $\forall \sigma_1. \sigma_1 \models ? \rightarrow \forall \sigma_3.$ $(\exists \sigma_2. \sigma, c_1 \Downarrow \sigma_2 \wedge \sigma, c_2 \Downarrow \sigma_3) \rightarrow$ σ_3 ⊧ Q

⊢{ ? } c1; c2 {Q}

Hoare Seq?

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 $\{ \{ ?_1 \} C_1; C_2 \{ Q \} =$ $\forall \sigma_1$. $\sigma_1 \models ?_1 \rightarrow \forall \sigma_3$. $(\exists \sigma_2. \sigma, c_1 \Downarrow \sigma_2 \wedge \sigma, c_2 \Downarrow \sigma_3) \rightarrow$ σ_3 \models Q

⊢{ ?1 } c1; c2 {Q} ⊢{?1}c1{?2} ⊢{?2}c2{Q}

Hoare Seq!

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 ${\rm (P\ }C_1;C_2{\rm \ Q\ }={\rm \ }%$ $\forall \sigma$. $\sigma \models P \rightarrow$ $\forall \sigma'$. σ , c_1 ; $c_2 \Downarrow \sigma' \rightarrow \sigma' \models Q$

⊢{P}c1{R} ⊢{R}c2{Q}

 \vdash {P} c₁; c₂ {Q} HLSEQ

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⊢{P}c1{R} ⊢{R}c2{Q}

⊢{P} c1; c2 {Q}

HLSEQ

Hoare If!

\vdash {P} if b then c_1 else c_2 end $\{Q\}$ \vdash {P \land b} c₁ {Q} \vdash {P \land ¬b} c₂ {Q}

HLIF

Proof Rules

- What if Assertions don't align?

$$
{X=2} \quad X = X + 1 \quad {X = 3}
$$

- Have rule for weakening postconditions and strengthening preconditions

$$
\frac{\vdash \{P_W\} \ c \{Q_S\} \qquad P \to P_W \qquad Q_S \to Q}{\vdash \{P\} \ c \{Q\}} \qquad \qquad \text{HLConsea}
$$

$$
+ \overbrace{\{X+1=3\}}^{\text{HLASSIGN}} X = X + 1 \{X = 3\} \qquad X = 2 \rightarrow X + 1 = 3 \qquad X = 3 \rightarrow X = 3
$$
\n
$$
+ \{X=2\} \times = X + 1 \{X = 3\} \qquad \text{HLConsEq}
$$

Rule Review

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⊢{X < 3} **while** (X < 3) **do** X := X + 1 **end** {X = 3}

⊢{?} c {?}

⊢{?} while b do c end {Q}

\vdash {X < 4} X := X + 1 {X < 4}

⊢{X < 4} **while** (X < 3) **do** X := X + 1 **end** {X < 4}

⊢{X < 3} **while** (X < 3) **do** X := X + 1 **end** {X = 3}

⊢{Q } c {Q}

⊢{Q} while b do c end {Q }

\vdash {X < 4 ∧ X < 3} X := X + 1 {X < 4}

⊢{X < 4} **while** (X < 3) **do** X := X + 1 **end** {X < 4}

⊢{X < 3} **while** (X < 3) **do** X := X + 1 **end** {X = 3}

\vdash {Q \land b} c {Q}

 \vdash {Q} while b do c end {Q }

$$
\vdash \{X < 4 \land X < 3\} \, X := X + 1 \, \{X < 4\}
$$

⊢{X < 4} **while** (X < 3) **do** X := X + 1 **end** {X < 4 ⋀ ¬X < 3 }

⊢{X < 3} **while** (X < 3) **do** X := X + 1 **end** {X = 3}

\vdash {Q \land b} c {Q}

⊢{Q} while b do c end {Q ⋀ ¬b}

- I is a *loop invariant*:
- -Holds before loop
- -Holds after each loop iteration
- -Holds when the loop exits

⊢{I ⋀ b} c {I}

 \vdash {I} while b do c end {I $\land \neg b$ }

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Hoare Logic is a structural model-theoretic proof system

- Rules characterize a set of states consistent with the requirements imposed by the pre- and post-conditions
- Highly mechanical: intermediate states can almost always be automatically constructed
- One major exception:

 \vdash {I ∧ b} c {I}

HLWHILE

⊢{I} **while** b **do** c **end** {I⋀¬b}

The invariant must:

- be weak enough to be implied by the precondition
- hold across each iteration
- be strong enough to imply the postcondition

Rule Review

Hoare in Action

- Want to build proof trees:

Idea: include assertions in program

```
\{ True \} \rightarrow \{ m = m \}X := m;
\{X = m\} \rightarrow \{X = m \land p = p\}Z := p;
\{ X = m \wedge Z = p \} \rightarrow \{ Z - X = p - m \} while X ≠ 0 do
\{ Z - X = p - m \wedge X \neq 0 \} \rightarrow \{ (Z - 1) - (X - 1) = p - m \}Z := Z - 1;
{Z - (X - 1) = p - m}X := X - 1{Z - X = p - m} end;
{Z - X = p - m \land \neg (X \neq 0)} \rightarrow {Z = p - m}
```
- Idea: include assertions in program
- If each individual command is correct, so is the program

$$
\{X = m \land Y = n \}
$$

\n
$$
X := X + Y
$$

\n
$$
\{ ?? \}
$$

\n
$$
\{ ?? \}
$$

\n
$$
X := X - Y
$$

\n
$$
\{ X = n \land Y = m \}
$$

- Idea: include assertions in program
- If each individual command is correct, so is the program

$$
\{X = m \land Y = n \}
$$

\n
$$
X := X + Y
$$

\n
$$
\{ ?? \}
$$

\n
$$
Y := X - Y
$$

\n
$$
\{ X - Y = n \land Y = m \}
$$

\n
$$
X := X - Y
$$

\n
$$
\{ X = n \land Y = m \}
$$

- ➡Idea: include assertions in program
- \blacktriangleright If each individual command is correct, so is the program

$$
\{X = m \land Y = n \}
$$
\n
$$
X := X + Y
$$
\n
$$
\{X - (X - Y) = n \land X - Y = m \}
$$
\n
$$
Y := X - Y
$$
\n
$$
\{X - Y = n \land Y = m \}
$$
\n
$$
X := X - Y
$$
\n
$$
\{X = n \land Y = m \}
$$

- Idea: include assertions in program
- If each individual command is correct, so is the program

$$
\{ X = m \land Y = n \} \rightarrow
$$

\n
$$
\{ (X + Y) - ((X + Y) - Y) = n \land (X + Y) - Y = m \}
$$

\n
$$
X := X + Y
$$

\n
$$
\{ X - (X - Y) = n \land X - Y = m \}
$$

\n
$$
Y := X - Y
$$

\n
$$
\{ X - Y = n \land Y = m \}
$$

\n
$$
X := X - Y
$$

\n
$$
\{ X = n \land Y = m \}
$$

```
 {{ True }}
 if X \leq Y then
\{\{\}Z := Y - X\{\{\} else
\{\{\}Y := X + Z\{\{\} end
\{ \{ Y = X + Z \} \}
```


 {{ True }} if $X \leq Y$ then $\{\{\}$ $Z := Y - X$ $\{\{\}$ else $\{\{\}$ $Y := X + Z$ $\{Y = X + Z\}$ end $\{ \{ Y = X + Z \} \}$

follows from the postcondition


```
 {{ True }}
  if X \leq Y then
              \{ \{ X \leq Y \} \}Z := Y - X\{Y = X + Z\} else
              \{ \{ X > Y \} \}Y := X + Z\{Y = X + Z\} end
\{ \{ Y = X + Z \} \}
```
- *By If Rule*
- *But, shape of precondition in assignments does not match the shape demanded by the Assgn rule*

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Proof can be constructed automatically, reasoning backwards from the postcondition

- Largely straightforward
- **Except** for loops!

 $\{ X = m \}$ while $X \neq 0$ do $X := X - 1$; end $\{ X = 0 \}$

Loops

```
 {{ True }} —-> 
 \{\{\}X := a;\{\{\}Y := b;\{\{\}Z := 0;\{\{\} while X <> 0 && Y <> 0 do
 \{\{X := X - 1;\{\ \}Y := Y - 1;\{Z := Z + 1;\{\ \} end
\{ \{ Z = min a b \} \}
```
Loops

```
 {{ True }} 
 {{ }}
  X := a;\{ \{ \}Y := b; {{ }}
  Z := 0; {{ }}
   while X <> 0 && Y <> 0 do
 {{ }} 
   X := X - 1; {{ }}
   Y := Y - 1; {{ }}
   Z := Z + 1;\{ \{ \} end
 { {Z = min a b } }
```
postcondition given in terms of inputs a and b

loop invariant expresses constraints on local variables X and Y

candidate invariant: $Z + min X Y = min a b$

Loops

```
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```

```
 {{ True }} 
       {{ min a b = min a b }}
   X := a;\{ \{ \min X b = min a b \} \}Y := b;\{\} min X Y = min a b \}Z := 0;\{\{\quad \text{Inv}\} while X <> 0 && Y <> 0 do
      \{ { 2 + 1 + min (X - 1) (Y - 1) = min a b } \}X := X - 1;\{ { 2 + 1 + min X (Y - 1) = min a b } \}Y := Y - 1;\{ { 2 + 1 + min X Y = min a b } \}Z := Z + 1; {{ Inv }}
    end
\{\{\ \sim(X \leq 0 \ \lor \ Y \leq 0) \ \lor \ InV\} \} \rightarrow{ {Z = min a b } }
```
 $Inv == (Z + min X Y = min a b)$

Precondition Inference

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```
\{\{\text{ True }\}\}\rightarrow\{\} min a b = min a b \}X := a:
            \{\{ \min X b = min a b \} \}Y := b;\{ \{ \min X Y = min a b \} \}Z := 0;\{\{\quad \text{Inv}\} while X <> 0 && Y <> 0 do
            \{ \{ \text{Inv} / \setminus (X \leq 0) / \setminus Y \leq 0) \} \} ->
            \begin{array}{|l} {\text{4} \ \text{5} \ \text{4} \ \text{7} \ \text{4} \ \text{7} \ \text{8} \ \text{8} \ \text{9} \ \text{1} \ \textX := X - 1;\{ {Z + 1 + min X (Y - 1) = min a b } \}Y := Y - 1;\{ { 2 + 1 + min X Y = min a b } \}Z := Z + 1; {{ Inv }}
       end
\{\{\ \sim(X \leq 0 \ \land \ Y \leq 0) \ \land \ InV\} \} \rightarrow\{ \{ Z = min a b \} \}
```
This style of proof construction is known as weakest precondition inference

Identify a precondition that satisfies the largest set of states that still enable verification of the postcondition

Can automate this inference once we know the loop invariant

Concept Check

 $\{ \{ X = 0 \} \}$

$$
\{ \{ 2 \} \} \quad \text{skip} \{ \{ X = 5 \} \}
$$
\n
$$
X = 5
$$
\n
$$
\{ \{ 2 \} \} \quad X := Y + Z \{ \{ X = 5 \} \}
$$
\n
$$
\{ \{ 2 \} \} \quad X := Y \quad \{ \{ X = Y \} \}
$$
\n
$$
\{ \{ 2 \} \} \quad \text{True}
$$
\n
$$
\{ \{ 2 \} \} \quad \text{if } X = 0 \text{ then}
$$
\n
$$
Y := Z + 1
$$
\n
$$
\text{else } Y := W + 2
$$
\n
$$
\{ \{ Y = 5 \} \}
$$
\n
$$
\{ \{ 2 \} \} \quad X := 5 \quad \{ \{ X = 0 \} \}
$$
\n
$$
\text{False}
$$
\n
$$
\{ \{ 2 \} \} \quad X := 5 \quad \{ \{ X = 0 \} \}
$$
\n
$$
\text{False}
$$
\n
$$
\{ \{ 2 \} \} \quad \text{while true do}
$$
\n
$$
X = 5
$$
\n
$$
\{ \{ 2 \} \} \quad \text{True}
$$
\n
$$
\text{False}
$$
\n
$$
\{ \{ 2 \} \} \quad \text{while true do}
$$
\n
$$
X = 5
$$
\n
$$
\{ \{ X = 0 \} \}
$$


```
- Largely straight 1.be weak
 - Except for lo<sub>2, be strong enough to imply the</sub>
\{ X = m \wedge Y = n \} \rightarrow \{ \text{True} \}while X \neq 0 do
  { True ⋀ X ≠ 0 } → { [X≔X-1] [Y≔Y-1] True}
   Y := Y - 1;
  { [X≔X-1] True }
   X = X - 1 { True }
  end
\{ True \land X = 0 \} \rightarrow \{ Y = n - m \}? needs to
                          1.be weak enough to be implied by
                           the loop's precondition,
                            loop's postcondition
                           3.be preserved by one iteration of the 
                           loop
                                     What fails to hold when
                                             ? is True?
```
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*Largely straight[[]2.be stron ★ Except for loop_{3.be} preserved by one iteration of the ? needs to . The weak enough to be implied by the loop's precondition, 2.be strong enough to imply the loop's postcondition loop

$$
\{X = m \land Y = n\} \rightarrow \{Y = n - m\}
$$
\nwhile X \neq 0 do
\n
$$
\{Y = n - m \land X \neq 0\} \rightarrow \{[X:=X-1] \mid Y:=Y-1] \mid Y = n - m\}
$$
\n
$$
Y := Y - 1;
$$
\n
$$
\{Y = [X:=X-1] \mid n - m\}
$$
\nWith this to hold
\n
$$
\{Y = n - m\}
$$
\n
$$
\{Y = n - m \land X = 0\} \rightarrow \{Y = n - m\}
$$
\nThis **Postcondition?**

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Developed a logic for proving that $\{P\}$ c $\{Q\}$ is valid We defined a set of rules (axioms) to build proofs of claims without reasoning directly about states and executions Saw how to verify specific programs

